

Monodromy and K-theory of Schubert curves via generalized jeu de taquin

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Abstract We establish a combinatorial connection between the real geometry and the K-theory of complex *Schubert curves* $S(\lambda_\bullet)$, which are one-dimensional Schubert problems defined with respect to flags osculating the rational normal curve. In [10], it was shown that the real geometry of these curves is described by the orbits of a map ω on skew tableaux, defined as the commutator of jeu de taquin rectification and promotion. In particular, the real locus of the Schubert curve is naturally a covering space of \mathbb{RP}^1 , with ω as the monodromy operator.

We provide a local algorithm for computing ω without rectifying the skew tableau, and show that certain steps in our algorithm are in bijective correspondence with Pechenik and Yong's *genomic tableaux* [15], which enumerate the K-theoretic Littlewood-Richardson coefficient associated to the Schubert curve. We then give purely combinatorial proofs of several numerical results involving the K-theory and real geometry of $S(\lambda_\bullet)$.

Keywords Schubert calculus · Young tableaux · jeu de taquin · K-theory · monodromy · osculating flag

Mathematics Subject Classification (2000) Primary 05E99 · Secondary 14N15

1 Introduction

In this paper, we study the real and complex geometry of certain one-dimensional intersections S of Schubert varieties defined with respect to 'osculating' flags. To define S , recall first that the *rational normal curve* is the image of the Veronese embedding $\mathbb{P}^1 \hookrightarrow \mathbb{P}^{n-1} = \mathbb{P}(\mathbb{C}^n)$, defined by

$$t \mapsto [1 : t : t^2 : \cdots : t^{n-1}].$$

Let \mathcal{F}_t be the *osculating* or *maximally tangent flag* to this curve at $t \in \mathbb{P}^1$, i.e. the complete flag in \mathbb{C}^n formed by the iterated derivatives of this map. The i -th part of the flag is spanned by the top i rows of the matrix

$$\left[\left(\frac{d}{dt} \right)^{i-1} (t^{j-1}) \right] = \begin{bmatrix} 1 & t & t^2 & \cdots & t^{n-1} \\ 0 & 1 & 2t & \cdots & (n-1)t^{n-2} \\ 0 & 0 & 2 & \cdots & (n-1)(n-2)t^{n-3} \\ \vdots & \vdots & \vdots & \ddots & \vdots \\ 0 & 0 & 0 & \cdots & (n-1)! \end{bmatrix}.$$

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Let $G(k, \mathbb{C}^n)$ be the Grassmannian, and $\Omega(\lambda, \mathcal{F}_t)$ the Schubert variety for the condition λ with respect to \mathcal{F}_t . The **Schubert curve** is the intersection

$$S = S(\lambda^{(1)}, \dots, \lambda^{(r)}) = \Omega(\lambda^{(1)}, \mathcal{F}_{t_1}) \cap \dots \cap \Omega(\lambda^{(r)}, \mathcal{F}_{t_r}),$$

where the osculation points t_i are real numbers with $0 = t_1 < t_2 < \dots < t_r = \infty$, and $\lambda^{(1)}, \dots, \lambda^{(r)}$ are partitions for which $\sum |\lambda^{(i)}| = k(n-k) - 1$. For simplicity, we always consider intersections of only three Schubert varieties, though the results of this paper (in particular, Theorems 1.2, 1.5 and 1.6) extend to the general case without difficulty. With this in mind, we consider a triple of partitions α, β, γ with $|\alpha| + |\beta| + |\gamma| = k(n-k) - 1$, and we study the Schubert curve

$$S(\alpha, \beta, \gamma) = \Omega(\alpha, \mathcal{F}_0) \cap \Omega(\beta, \mathcal{F}_1) \cap \Omega(\gamma, \mathcal{F}_\infty).$$

Schubert varieties with respect to osculating flags have been studied extensively in the context of degenerations of curves [2] [3] [12], Schubert calculus and the Shapiro-Shapiro Conjecture [11] [17] [19], and the geometry of the moduli space $\overline{M}_{0,r}(\mathbb{R})$ [20]. They satisfy unusually strong transversality properties, particularly under the hypothesis that the osculation points t are real [3] [11]; in particular, S is known to be one-dimensional (if nonempty) and reduced [10]. Moreover, intersections of such Schubert varieties in dimensions zero and one have been found to have remarkable topological descriptions in terms of Young tableau combinatorics. [2] [10] [16] [20]

The Schubert curve is no exception: recent work [10] has shown that its *real* connected components can be described by combinatorial operations, related to jeu de taquin and Schützenberger's promotion and evacuation, on chains of skew Young tableaux. Recall that a skew semistandard Young tableau is *Littlewood-Richardson* if its reading word is *ballot*, meaning that every suffix of the reading word has partition content.

Definition 1.1 We write $\text{LR}(\lambda^{(1)}, \dots, \lambda^{(r)})$ to denote the set of sequences (T_1, \dots, T_r) of skew Littlewood-Richardson tableaux, filling a $k \times (n-k)$ rectangle, such that the shape of T_i extends that of T_{i-1} and T_i has content $\lambda^{(i)}$ for all i . (The tableaux T_1 and T_r are uniquely determined and may be omitted.)

The theorem below describes the topology of $S(\alpha, \beta, \gamma)(\mathbb{R})$ in terms of tableaux:

Theorem 1.2 ([10], Corollary 4.9) *There is a map $S \rightarrow \mathbb{P}^1$ that makes the real locus $S(\mathbb{R})$ a smooth covering of the circle $\mathbb{R}\mathbb{P}^1$. The fibers over 0 and ∞ are in canonical bijection with, respectively, $\text{LR}(\alpha, \square, \beta, \gamma)$ and $\text{LR}(\alpha, \beta, \square, \gamma)$. Under this identification, the arcs of $S(\mathbb{R})$ covering \mathbb{R}_- induce the jeu de taquin bijection*

$$\text{sh} : \text{LR}(\alpha, \beta, \square, \gamma) \rightarrow \text{LR}(\alpha, \square, \beta, \gamma),$$

and the arcs covering \mathbb{R}_+ induce a different bijection esh , called evacuation-shuffling. The monodromy operator ω is, therefore, given by $\omega = \text{sh} \circ \text{esh}$.

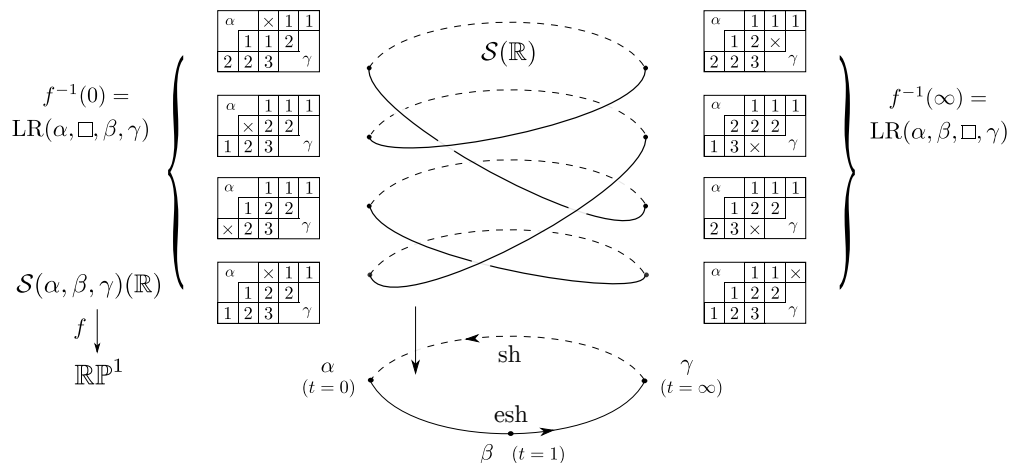


Fig. 1 An example of the covering space of Theorem 1.2. The fibers over 0 and ∞ are indexed by chains of tableaux, with \square denoting the single box. The dashed arcs correspond to sliding the \square through the tableau using jeu de taquin. The monodromy operator is $\omega = \text{sh} \circ \text{esh}$.

The operators esh and ω are our objects of study. In [10], the second author described esh as the conjugation of jeu de taquin *promotion* by *rectification* (see Section 2 for a precise definition). Variants of this operation have appeared elsewhere in [1], [8], [9].

We prove two main theorems. The first is a shorter, ‘local’ combinatorial description of the map esh , which no longer requires rectifying or otherwise modifying the skew shape. We call our algorithm *local evacuation shuffling*. Local evacuation-shuffling resembles jeu de taquin: it consists of successively moving the \boxtimes through T through a weakly increasing sequence of squares. Unlike jeu de taquin, the path is in general disconnected. (See Section 3 for the definition, and Figure 2 for a visual description of the path of the \boxtimes .)

Theorem 1.3 *The map esh agrees with local evacuation shuffling. In particular, $\omega = \text{sh} \circ \text{local-esh}$.*

Our second main result is related to K-theory and the orbit structure of ω . We first recall a key consequence of Theorem 1.2:

Proposition 1.4 ([10], Lemma 5.6) *Let S have $\iota(S)$ irreducible components and let $S(\mathbb{R})$ have $\eta(S)$ connected components. Let $\chi(\mathcal{O}_S)$ be the holomorphic Euler characteristic. Then*

$$\begin{aligned} \eta(S) &\geq \iota(S) \geq \chi(\mathcal{O}_S) \text{ and} \\ \eta(S) &\equiv \chi(\mathcal{O}_S) \pmod{2}. \end{aligned}$$

We note that $\eta(S)$ is the number of orbits of ω , viewed as a permutation of $\text{LR}(\alpha, \square, \beta, \gamma)$. The numerical consequences above are most interesting in the context of K-theoretic Schubert calculus, which expresses $\chi(\mathcal{O}_S)$ in terms of both ordinary and K-theoretic *genomic tableaux*, namely

$$\chi(\mathcal{O}_S) = |\text{LR}(\alpha, \square, \beta, \gamma)| - |K(\gamma^c/\alpha; \beta)|.$$

See Section 5 for the definition of $K(\gamma^c/\alpha; \beta)$ due to Pechenik-Yong [15]. In particular, we see that

$$|K(\gamma^c/\alpha; \beta)| \geq |\text{LR}(\alpha, \beta, \square, \gamma)| - |\text{orbits}(\omega)|, \text{ and} \quad (1)$$

$$|K(\gamma^c/\alpha; \beta)| \equiv |\text{LR}(\alpha, \beta, \square, \gamma)| - |\text{orbits}(\omega)| \pmod{2}. \quad (2)$$

The following reformulation is instructive: we recall that the *reflection length* of a permutation $\sigma \in S_N$ is the minimum length of a factorization of σ into arbitrary (not necessarily adjacent) transpositions. We have

$$\text{rlength}(\sigma) = \sum_{\mathcal{O} \in \text{orbits}(\sigma)} (|\mathcal{O}| - 1) = N - |\text{orbits}(\sigma)|.$$

We also recall that the *sign* of a permutation is the parity of the reflection length:

$$\text{sgn}(\sigma) \equiv \text{rlength}(\sigma) \pmod{2}.$$

where we use the convention that the sign of a permutation is 0 or 1 (rather than ± 1). Applying these relations to equations (1) and (2), we see that

$$|K(\gamma^c/\alpha; \beta)| \geq \text{rlength}(\omega), \text{ and} \quad (3)$$

$$|K(\gamma^c/\alpha; \beta)| \equiv \text{sgn}(\omega) \pmod{2}. \quad (4)$$

For the case where β is a horizontal strip, a combinatorial interpretation of these facts was given in [10], indexing all but one step of an orbit by genomic tableaux. Our second main result generalizes this combinatorial interpretation, showing that certain steps of local evacuation-shuffling correspond bijectively to the genomic tableaux $K(\gamma^c/\alpha; \beta)$:

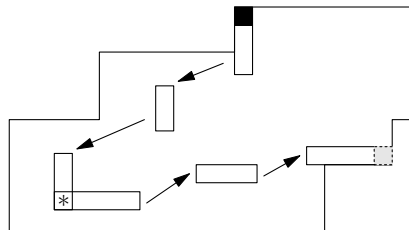


Fig. 2 The path of the \boxtimes in a local evacuation-shuffle. The black and gray squares are the initial and final locations of the \boxtimes ; the algorithm switched from ‘Phase 1’ to ‘Phase 2’ at the square marked by a *. There is an *antidiagonal symmetry*: the Phase 1 path forms a vertical strip, while the Phase 2 path forms a horizontal strip. We characterize this symmetry precisely in Corollary 4.17.

Theorem 1.5 *As T ranges over $\text{LR}(\alpha, \square, \beta, \gamma)$, for either phase of the local description of $\text{esh}(T)$, the gaps in the \boxtimes path are in bijection with the set $K(\gamma^c/\alpha; \beta)$.*

Using the bijections of Theorem 1.5, we give an independent, purely combinatorial proof of the relations (3) and (4), by factoring ω into auxiliary operators ω_i , which roughly correspond to the individual steps of local evacuation-shuffling, applied in isolation. If β has $\ell(\beta)$ parts, we have the following:

Theorem 1.6 *There is a factorization of ω as a composition $\omega_{\ell(\beta)} \cdots \omega_1$, such that for every i , and every orbit \mathcal{O} of ω_i , the bijections of Theorem 1.5 yield exactly $|\mathcal{O}| - 1$ distinct genomic tableaux.*

By summing over the orbits of the ω_i 's, we deduce

$$\text{rlength}(\omega) \leq \sum_i \text{rlength}(\omega_i) = \sum_{i, \mathcal{O}} (|\mathcal{O}| - 1) = |K(\gamma^c/\alpha; \beta)|,$$

by the subadditivity of reflection length. The sign computation is analogous.

Finally, we conjecture that the inequality (3) ‘applies orbit-by-orbit on ω ’, in the following sense:

Conjecture 1.1 Using the bijections of Theorem 1.5, each orbit \mathcal{O} of ω generates at least $|\mathcal{O}| - 1$ genomic tableaux.

Conjecture 1.1 implies the inequality (3), by summing over the orbits of ω . In section 6, we prove this conjecture in certain special cases and give computational evidence that it holds in general.

The paper is organized as follows. In Section 2, we briefly recall the necessary background and definitions from the theory of tableaux and dual equivalence. In Section 3, we define local-esh and establish its basic properties. In Section 4, we prove Theorem 1.3 that local-esh agrees with esh. We also establish certain symmetries of the algorithm under rotation and transposition of the tableau. Section 5 contains the link to K-theory, and the proofs of Theorems 1.5 and 1.6.

The remaining sections explore some consequences of the main results, including new geometric facts about Schubert curves. Section 6 contains the results on orbits of ω , including a characterization of its fixed points. In Section 7, we construct examples of Schubert curves with ‘extremal’ geometric properties. In Section 8 we state some remaining combinatorial and geometric conjectures.

2 Background and Notation

2.1 Partitions and tableaux

Let $\lambda = (\lambda_1 \geq \cdots \geq \lambda_k)$ be a partition. We will refer to the partition λ and its *Young diagram* interchangeably throughout, where we use the English convention for Young diagrams in which there are λ_i squares placed in the i th row from the top. The *corners* of λ are the squares which, if removed, leave a smaller partition behind. The *co-corners* are, dually, the exterior squares which, if added to λ , give a larger partition. The *transpose* of λ is the partition λ^* obtained by transposing its Young diagram. The *length* of λ is $\ell(\lambda) = k$.

If $\mu = (\mu_1 \geq \cdots \geq \mu_r)$ is a partition with $r \leq k$ and $\mu_i \leq \lambda_i$ for all i , then the *skew shape* λ/μ is the diagram formed by deleting the squares of μ from that of λ . The *size* of λ/μ , denoted $|\lambda/\mu|$, is the number of squares that remain in the diagram.

We will occasionally refer to (co-)corners of a skew shape λ/μ . The *inner* (respectively, *outer*) *corners* of λ/μ are the corners of λ (respectively, the co-corners of μ). These are the squares which, if deleted, leave a smaller skew shape. Similarly, the *inner* (resp. *outer*) *co-corners* are the co-corners of λ (resp. the corners of μ): the exterior squares which can be added to obtain a larger skew shape.¹

We write $\boxplus = ((n - k)^k)$ to denote a fixed rectangular shape of size $k \times (n - k)$, and we will always work with skew shapes that fit inside \boxplus . We define the *complement* of a partition $\lambda \subset \boxplus$, denoted λ^c , to be the partition $(n - k - \lambda_k, n - k - \lambda_{k-1}, \dots, n - k - \lambda_1)$. Note that λ^c can be formed by rotating λ by 180° about the center of \boxplus and then removing it from \boxplus .

A *semistandard Young tableau* (SSYT) of skew shape λ/μ is a filling of the boxes of the Young diagram of λ/μ with positive integers such that the entries in are weakly increasing to the right across each row and strictly increasing down each column. The *content* of a semistandard Young tableau is the tuple $\beta = (\beta_1, \dots, \beta_t)$ where β_i is the number of times the number i appears in the filling. The *reading word* is the sequence formed by reading the rows from bottom to top, and left to right within a row.

¹ Note that the definition of corner of λ/μ depends on the pair of partitions λ and μ , not just the squares that make up the skew shape. The same square may be both an inner and outer corner; likewise for co-corners.

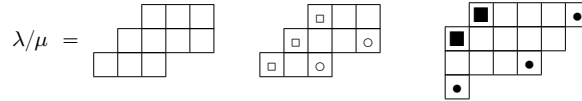


Fig. 3 Corners (\square, \circ) and co-corners (\blacksquare, \bullet).

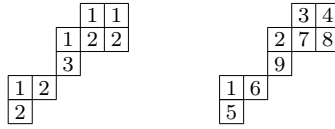


Fig. 4 Left: An SSYT with content $(2, 2, 1)$ and reading word 212312211. Right: Its standardization.

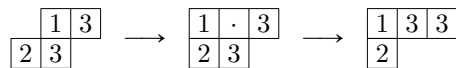
An SSYT S is *standard* if the numbers $1, \dots, |S|$ each appear exactly once as entries in S . The *standardization* of an SSYT T is the tableau formed by replacing the entries of T with the numbers $1, \dots, |T|$ in the unique way that preserves the relative ordering of the entries, where ties are broken according to left-to-right ordering in the reading word.

The *suffix* of an entry m of T is the suffix of the reading word consisting of the letters *strictly* after m . The *weak suffix* is the suffix including that letter and those after it. A suffix is *ballot for* $(i, i + 1)$ if it contains at least as many i 's as $i + 1$'s, and is *tied* if it has the same number of i 's as $i + 1$'s. Finally, a semistandard Young tableau T is *ballot* or *Littlewood-Richardson* (also known as *Yamanouchi* or *lattice*) if every weak suffix of its reading word is ballot for $(i, i + 1)$, for all i . We write $\text{LR}_\mu^\lambda(\beta)$ for the set of (semistandard) Littlewood-Richardson tableaux of shape λ/μ and content β .

A tableau of shape λ/μ is *straight shape*, or *shape* λ , if $\mu = \emptyset$ is the empty partition. The *highest weight tableau* of straight shape λ is the tableau in which the i th row from the top is filled with all i 's. It is easily verified that this tableau is the only Littlewood-Richardson tableau of straight shape λ .

2.1.1 Jeu de taquin rectification and shuffling

An *inward (resp. outward) jeu de taquin* slide of a semistandard skew tableau T is the operation of starting with an inner (resp. outer) co-corner of T as the *empty square*, and at each step sliding either the entry below or to the right (resp. above or to the left) of the empty square into that square in such a way that the resulting tableau is still semistandard. This condition uniquely determines the choice of slide. The former position of the moved entry is the new empty square, and the process continues until the empty square is an outer (resp. inner) co-corner of the remaining tableau. An example is shown below.



See [4] for a more detailed introduction to jeu de taquin.

The *rectification* of a skew tableau T , denoted $\text{rect}(T)$, is defined to be the straight shape tableau formed by any sequence of inwards jeu de taquin slides. It is well known (often called the “fundamental theorem of jeu de taquin”) that any sequence of slides results in the same rectified tableau.

Definition 2.1 Let S, T be semistandard skew tableaux so that the shape of T extends the shape of S , that is, T can be formed by successively adding outer co-corners starting from S . We define the (jeu de taquin) *shuffle* of (S, T) to be the pair of tableaux (T', S') , where S' is obtained from S by performing outwards jeu de taquin slides in the order specified by the standardization of T , and T' is obtained from T by performing reverse slides in the order specified by the standardization of S .

Equivalently, T' records the squares vacated by S as S slides outwards, and S' records the squares vacated by T as T slides inwards. We then say S and S' are *slide equivalent*, and likewise for T, T' .

Lemma 2.2 *Shuffling is an involution.*

Proof sketch. Shuffling can be computed by growth diagrams (see [21], appendix A1.2), with the input on the left and top sides, and the output on the bottom and right sides. The transpose of a growth diagram is again a growth diagram. \square

2.2 Dual equivalence

We will use the theory of dual equivalence, particularly Lemmas 2.15 and 2.16, to prove Theorem 1.3 on the correctness of our local algorithm for the monodromy operator ω . Dual equivalence is not used outside of Section 4.

Let S, S' be skew standard tableaux of the same shape. Following the conventions of [7], we say S is **dual equivalent** to S' if the following is always true: let T be a skew standard tableau whose shape extends, or is extended by, that of S . Let \tilde{T}, \tilde{T}' be the results of shuffling T with S and with S' . Then $\tilde{T} = \tilde{T}'$.

In other words, S and S' are dual equivalent if they have the same shape, and they transform *other* tableaux the same way under jeu de taquin. Therefore, the fact that rectification of skew tableaux is well-defined, regardless of the rectification order, can be phrased in terms of dual equivalence as follows.

Theorem 2.3 *Any two tableaux of the same straight shape are dual equivalent.*

Definition 2.4 We will write D_β for the unique dual equivalence class of straight shape β .

It is also known [7] that S and S' are dual equivalent if *their own* shapes evolve the same way under any sequence of slides. We state this in the following lemma.

Lemma 2.5 *Let S, S' be skew standard tableaux of the same shape. Then S is dual equivalent to S' if and only if the following is always true:*

- *Let T be a tableau whose shape extends, or is extended by, that of S . Let \tilde{S} and \tilde{S}' be the results of shuffling S, S' with T . Then \tilde{S} and \tilde{S}' have the same shape.*

Additionally, in this case \tilde{S} and \tilde{S}' are also dual equivalent.

We can extend the definition of shuffling to dual equivalence classes, using the following result. [7]

Lemma 2.6 *Let S, T be skew tableaux, with T 's shape extending that of S , and let (S, T) shuffle to (\tilde{T}, \tilde{S}) . The dual equivalence classes of \tilde{T} and \tilde{S} depend only on the dual equivalence classes of S and T .*

So we may use any tableau of straight shape μ to rectify a skew tableau S of shape λ/μ . Thus we may speak of the **rectification tableau** of a slide equivalence class. Similarly, by the above facts, we may speak of the **rectification shape of a dual equivalence class** $\text{rsh}(D)$. This is the shape of any rectification of any representative of the class D .

Lemma 2.7 *Let \mathcal{D}, \mathcal{S} be a dual equivalence class and a slide equivalence class, with $\text{rsh}(\mathcal{D}) = \text{rsh}(\mathcal{S})$. There is a unique tableau in $\mathcal{D} \cap \mathcal{S}$.*

Proof. Uniqueness is clear. To produce the tableau, pick any $T_{\mathcal{D}} \in \mathcal{D}$. Rectify $T_{\mathcal{D}}$ using an arbitrary tableau X , so $(X, T_{\mathcal{D}})$ shuffles to $(\tilde{T}_{\mathcal{D}}, \tilde{X})$ (and X and $\tilde{T}_{\mathcal{D}}$ are of straight shape). Replace $\tilde{T}_{\mathcal{D}}$ by the rectification tableau $R_{\mathcal{S}}$ for the class \mathcal{S} , and let $(R_{\mathcal{S}}, \tilde{X})$ shuffle back to (X, T) . Then T and $R_{\mathcal{S}}$ are slide equivalent, and by Theorem 2.3 and Lemma 2.5, T and $T_{\mathcal{D}}$ are dual equivalent. \square

The dual equivalence classes of a given skew shape and rectification shape are counted by a Littlewood-Richardson coefficient.

Lemma/Definition 2.8 *Let λ/μ be a skew shape and let*

$$\text{DE}_{\mu}^{\lambda}(\beta) = \{\text{dual equivalence classes } D \text{ with } \text{sh}(D) = \lambda/\mu \text{ and } \text{rsh}(D) = \beta\}.$$

Then $|\text{DE}_{\mu}^{\lambda}(\beta)| = c_{\mu\beta}^{\lambda}$.

Proof. It is well-known that $c_{\mu\beta}^{\lambda}$ counts tableaux T of shape λ/μ whose rectification is the standardization of the highest-weight tableau of shape β . This specifies the slide equivalence class of T ; by Lemma 2.7, such tableaux are in bijection with $\text{DE}_{\mu}^{\lambda}(\beta)$. \square

2.2.1 Connection to Littlewood-Richardson tableaux

As noted in the proof of Lemma 2.8, we know by Lemma 2.7 that a dual equivalence class D of rectification shape β has a unique **highest-weight representative**, that is, the unique tableau T dual equivalent to D and slide equivalent to the standardization of the highest weight tableau of shape β . By the fundamental theorem of jeu de taquin, if S, T are highest-weight skew tableaux, the shuffles (T', S') are also of highest weight. We wish to work with Littlewood-Richardson tableaux, which are in bijection with these highest-weight representatives:

Lemma 2.9 *A semistandard skew tableau T is Littlewood-Richardson (of content β) if and only if its standardization is the highest weight representative of its dual equivalence class D (and $\text{rsh}(D) = \beta$).*

This is well-known; see e.g. [4]. A consequence of this lemma is that there is a canonical bijection

$$\text{DE}_\mu^\lambda(\beta) \cong \text{LR}_\mu^\lambda(\beta).$$

If T is a highest weight representative for D and β is understood, we often write

$$\text{LR}(D) = T \text{ and } \text{DE}(T) = D.$$

2.2.2 Transposing and rotating dual equivalence classes

Let T be a standard tableau of skew shape α/β , and write T^R for the tableau of shape β^c/α^c obtained by rotating T by 180° , then reversing the numbering of its entries. Rotating commutes with jeu de taquin shuffling, so the dual equivalence class of T^R depends only on the dual equivalence class of T . This gives an involution of dual equivalence classes

$$D \mapsto D^R : \text{DE}_\mu^\lambda(\beta) \rightarrow \text{DE}_{\lambda^c}^{\mu^c}(\beta).$$

In particular, any tableaux T, T' of ‘anti-straight-shape’ \boxplus/λ^c are dual equivalent, and their rectifications have shape λ . The same remarks apply to transposing standard tableaux, so we may speak of transposing a dual equivalence class:

$$D \mapsto D^* : \text{DE}_\mu^\lambda(\beta) \rightarrow \text{DE}_{\mu^*}^{\lambda^*}(\beta^*).$$

We note that these operations do not correspond to simple operations on the Littlewood-Richardson tableau $\text{LR}(D)$. The combination, however, is straightforward:²

Lemma 2.10 *Let $D \in \text{DE}_\mu^\lambda(\beta)$. Let $\tilde{D} = (D^R)^*$ be obtained by rotating and transposing D .*

Then $\tilde{T} = \text{LR}(\tilde{D})$ is obtained from $T = \text{LR}(D)$ as follows: for each $j = 1, \dots, \beta_1$, let V_j be the vertical strip containing the j -th-from-last instance of each entry i in T . The squares obtained by rotating and transposing V_j contain the entry j in \tilde{T} .

Proof. We defer the proof to Section 4, where we prove a stronger statement (Lemma 4.4). □

2.3 Chains of dual equivalence classes and tableaux

Following the conventions of [10], we define a **chain of dual equivalence classes** to be a sequence (D_1, \dots, D_r) of dual equivalence classes, such that the shape of D_{i+1} extends that of D_i for each i . We say the chain has **type** $(\lambda^{(1)}, \dots, \lambda^{(r)})$ if for each i , $\text{rsh}(D_i) = \lambda_i$.

Lemma/Definition 2.11 *Let $\text{DE}_\mu^\nu(\lambda^{(1)}, \dots, \lambda^{(r)})$ denote the set of chains of dual equivalence classes of type $(\lambda^{(1)}, \dots, \lambda^{(r)})$, such that D_1 's shape extends μ and ν is the outer shape of D_r . This has cardinality equal to the Littlewood-Richardson coefficient $c_{\mu, \lambda^{(1)}, \dots, \lambda^{(r)}}^\nu$.*

By Lemma 2.9, we can work with Littlewood-Richardson tableaux in place of dual equivalence classes. Define a **chain of Littlewood-Richardson tableaux** to be a sequence (T_1, \dots, T_r) of Littlewood-Richardson tableaux, such that the shape of T_{i+1} extends that of T_i for each i . We say the chain has **type** $(\lambda^{(1)}, \dots, \lambda^{(r)})$ if T_i has content $\lambda^{(i)}$ for each i .

² This phenomenon reflects the fact that both transformations encode the ‘Fundamental Symmetry’ of Young tableau bijections, in the sense of Pak and Vallejo’s work in [13]. Consequently, the composition *does not* encode this deep symmetry, hence is easier to compute.

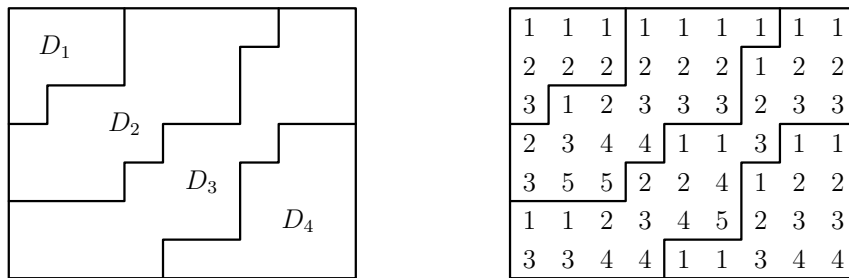


Fig. 5 At left, a chain of dual equivalence classes that extend each other to fill a $k \times (n - k)$ rectangle, with rectification shapes $\lambda^{(1)}, \dots, \lambda^{(4)}$. At right, a Littlewood-Richardson tableau with content $\lambda^{(4)}$ is given for the i th skew shape for $i = 1, \dots, 4$. Each dual equivalence class D_λ of skew shape ν/μ is represented by a unique Littlewood-Richardson tableau.

Lemma/Definition 2.12 Let $\text{LR}_\mu^\nu(\lambda^{(1)}, \dots, \lambda^{(r)})$ denote the set of chains of Littlewood-Richardson tableaux of type $(\lambda^{(1)}, \dots, \lambda^{(r)})$, such that T_1 's shape extends μ , and ν is the outer shape of T_r . There is a natural bijection

$$\text{LR}_\mu^\nu(\lambda^{(1)}, \dots, \lambda^{(r)}) \cong \text{DE}_\mu^\nu(\lambda^{(1)}, \dots, \lambda^{(r)}).$$

Definition 2.13 When $\mu = \emptyset$ and $\nu = \boxplus$, we simply write $\text{LR}(\lambda^{(1)}, \dots, \lambda^{(r)})$ and $\text{DE}(\lambda^{(1)}, \dots, \lambda^{(r)})$ in place of $\text{LR}_\mu^\nu(\lambda^{(1)}, \dots, \lambda^{(r)})$ and $\text{DE}_\mu^\nu(\lambda^{(1)}, \dots, \lambda^{(r)})$ respectively.

2.3.1 Operations on chains

We define the **shuffling** operations

$$\text{sh}_i : \text{DE}_\mu^\nu(\lambda^{(1)}, \dots, \lambda^{(i)}, \lambda^{(i+1)}, \dots, \lambda^{(r)}) \rightarrow \text{DE}_\mu^\nu(\lambda^{(1)}, \dots, \lambda^{(i+1)}, \lambda^{(i)}, \dots, \lambda^{(r)})$$

$$\text{sh}_i : \text{LR}_\mu^\nu(\lambda^{(1)}, \dots, \lambda^{(i)}, \lambda^{(i+1)}, \dots, \lambda^{(r)}) \rightarrow \text{LR}_\mu^\nu(\lambda^{(1)}, \dots, \lambda^{(i+1)}, \lambda^{(i)}, \dots, \lambda^{(r)})$$

by shuffling (D_i, D_{i+1}) or (T_i, T_{i+1}) respectively. The shuffling operations commute with the correspondence between DE and LR of Lemma 2.12. They satisfy the relations $\text{sh}_i^2 = \text{id}$ and $\text{sh}_i \text{sh}_j = \text{sh}_j \text{sh}_i$ when $|i - j| > 1$. Note, however, that $\text{sh}_i \text{sh}_{i+1} \text{sh}_i \neq \text{sh}_{i+1} \text{sh}_i \text{sh}_{i+1}$ in general.

We next define the i -th **evacuation** operations

$$\text{ev}_i : \text{DE}_\mu^\nu(\lambda^{(1)}, \dots, \lambda^{(r)}) \rightarrow \text{DE}_\alpha^\beta(\lambda^{(i)}, \dots, \lambda^{(1)}, \lambda^{(i+1)}, \dots, \lambda^{(r)})$$

$$\text{ev}_i : \text{LR}_\mu^\nu(\lambda^{(1)}, \dots, \lambda^{(r)}) \rightarrow \text{LR}_\alpha^\beta(\lambda^{(i)}, \dots, \lambda^{(1)}, \lambda^{(i+1)}, \dots, \lambda^{(r)})$$

by $\text{ev}_i = \text{sh}_1(\text{sh}_2 \text{sh}_1) \cdots (\text{sh}_{i-2} \cdots \text{sh}_1)(\text{sh}_{i-1} \cdots \text{sh}_1)$. This results in reversing the first i parts of the chain's type, by first shuffling D_1 (or T_1) outwards past D_i , then shuffling the D_2' (now the first element of the chain) out past D_i' , and so on.

In the case where $\mu = \emptyset$ and $\lambda^{(i)} = \square$ for all i , the operation ev_i reduces to evacuation of the standard tableau formed by the first i entries. In general, ev_i is an involution:

Lemma 2.14 *The operation ev_i is an involution.*

Proof. By definition, $\text{ev}_i = \text{ev}_{i-1}(\text{sh}_{i-1} \cdots \text{sh}_1)$. On the other hand, observe that $(\text{sh}_{i-1} \cdots \text{sh}_1)\text{ev}_i = \text{ev}_{i-1}$. (Each extra sh_j cancels the leftmost instance of sh_j in ev_i .) Thus we have

$$\text{ev}_i^2 = \text{ev}_{i-1}(\text{sh}_{i-1} \cdots \text{sh}_1)\text{ev}_i = \text{ev}_{i-1}^2,$$

and the claim follows by induction. □

Finally, we define the i -th **evacuation-shuffle** operations

$$\text{esh}_i : \text{DE}_{\emptyset}^{\boxplus}(\lambda^{(1)}, \dots, \lambda^{(i)}, \lambda^{(i+1)}, \dots, \lambda^{(r)}) \rightarrow \text{DE}_{\emptyset}^{\boxplus}(\lambda^{(1)}, \dots, \lambda^{(i+1)}, \lambda^{(i)}, \dots, \lambda^{(r)})$$

$$\text{esh}_i : \text{LR}_{\emptyset}^{\boxplus}(\lambda^{(1)}, \dots, \lambda^{(i)}, \lambda^{(i+1)}, \dots, \lambda^{(r)}) \rightarrow \text{LR}_{\emptyset}^{\boxplus}(\lambda^{(1)}, \dots, \lambda^{(i+1)}, \lambda^{(i)}, \dots, \lambda^{(r)})$$

by

$$\text{esh}_i = \text{ev}_{i+1}^{-1} \text{sh}_1 \text{ev}_{i+1}.$$

This operation is simpler than it appears: it only affects the i -th and $(i + 1)$ -th entries of the chain, and its effect is local (it depends only on the i -th and $(i + 1)$ -th entries). We have the following:

Lemma 2.15 ([10], Lemma 3.12) *Let $\mathbf{D} = (D_1, \dots, D_r) \in \text{DE}_{\emptyset}^{\boxplus}(\lambda^{(1)}, \dots, \lambda^{(r)})$ and write*

$$\text{esh}_i(\mathbf{D}) = (D'_1, \dots, D'_{i+1}, D'_i, \dots, D'_r).$$

Then:

- (i) *We have $D_j = D'_j$ for all $j \neq i, i+1$.*
- (ii) *The remaining two classes D'_i, D'_{i+1} are computed as follows: let $D_1 \sqcup \dots \sqcup D_{i-1} = D_\tau$ be the concatenation of the first $i-1$ classes (i.e. the unique class of straight-shape τ , the outer shape of D_{i-1}). Let σ be the outer shape of D_{i+1} . Consider $\overline{\mathbf{D}} = (D_\tau, D_i, D_{i+1}) \in \text{DE}_{\emptyset}^{\sigma}(\tau, \lambda^{(i)}, \lambda^{(i+1)})$. Then*

$$\text{esh}_2(\overline{\mathbf{D}}) = \text{sh}_1 \text{sh}_2 \circ \text{sh}_1 \circ \text{sh}_2 \text{sh}_1(\overline{\mathbf{D}}) = (D_\tau, D'_{i+1}, D'_i).$$

In other words, evacuation-shuffling a pair of consecutive tableaux (S, T) in a Littlewood-Richardson chain consists of rectifying (S, T) together, then shuffling them, then un-rectifying.

We may also compute esh_i by anti-rectifying into the lower right corner of the rectangle instead of rectifying:

Lemma 2.16 *Let $\mathbf{D} = (D_1, D_2, D_3, D_4) \in \text{DE}_{\emptyset}^{\boxplus}(\lambda^{(1)}, \lambda^{(2)}, \lambda^{(3)}, \lambda^{(4)})$. Then*

$$\text{esh}_2(\mathbf{D}) = \text{sh}_3 \text{sh}_2 \circ \text{sh}_3 \circ \text{sh}_2 \text{sh}_3(\mathbf{D}).$$

Proof. Rotating dual equivalence classes, as in Section 2.2.2,

$$\text{rev} : (D_1, D_2, D_3, D_4) \mapsto (D_4^R, D_3^R, D_2^R, D_1^R),$$

corresponds to the word

$$\text{rev} = \text{sh}_1 \circ \text{sh}_2 \text{sh}_1 \circ \text{sh}_3 \text{sh}_2 \text{sh}_1.$$

(See [20] for a proof via dual equivalence growth diagrams.) We have

$$\text{rev} \circ \text{sh}_i = \text{sh}_{4-i} \circ \text{rev}, \quad \text{and so} \quad \text{rev} \circ \text{esh}_2 \circ \text{rev} = \text{sh}_3 \text{sh}_2 \circ \text{sh}_3 \circ \text{sh}_2 \text{sh}_3.$$

On the other hand, we see directly, by simplifying the corresponding words, that

$$\text{rev} \circ \text{esh}_2 \circ \text{rev} = \text{esh}_2$$

and the proof is complete. \square

We remark that neither of Lemmas 2.15 or 2.16 is easy to prove directly for ballot semistandard tableaux. We will use them in the proof of Theorem 4.1.

2.4 The case of interest and the operator ω

The geometry of Schubert curves (see Section 1) suggests studying sets of the form

$$\text{DE}_{\emptyset}^{\boxplus}(\lambda^{(1)}, \square, \lambda^{(2)}, \dots, \lambda^{(r)}),$$

where \boxplus is a $k \times (n-k)$ rectangle and $1 + \sum |\lambda^{(i)}| = k(n-k)$, with the composition of shuffles and evacu-shuffles

$$\omega = \text{sh}_2 \circ \dots \circ \text{sh}_{r-1} \circ \text{esh}_{r-1} \circ \dots \circ \text{esh}_2.$$

In general, ω describes the monodromy and real connected components of the Schubert curve

$$S(\lambda^{(1)}, \dots, \lambda^{(r)}) = \Omega(\lambda^{(1)}, \mathcal{F}_{t_1}) \cap \dots \cap \Omega(\lambda^{(r)}, \mathcal{F}_{t_r}),$$

where the osculation points t_i are real numbers with $0 = t_1 < t_2 < \dots < t_r = \infty$. (See [10], Corollary 4.9.) Our local description of esh will apply to each of the above esh_i operations, by Lemma 2.15. Therefore, our main results, in the case of three marked points, generalize without difficulty to this general case. We leave these extensions to the interested reader.

Thus, for simplicity, we restrict for the remainder of the paper to the case of three partitions α, β, γ , i.e. we study the operator

$$\omega = \text{sh}_2 \circ \text{esh}_2$$

on the sets

$$\text{DE}(\alpha, \square, \beta, \gamma) \quad \text{and} \quad \text{DE}(\alpha, \beta, \square, \gamma),$$

or equivalently

$$\text{LR}(\alpha, \square, \beta, \gamma) \quad \text{and} \quad \text{LR}(\alpha, \beta, \square, \gamma).$$

Since we mostly work only with sh_2 and esh_2 , we often simply abbreviate them as sh and esh , as in Section 1.

Remark 2.1 (Notation) Since the straight shape α and anti straight shape γ^c each have only one dual equivalence class, an element of $\text{DE}(\alpha, \square, \beta, \gamma)$ can be thought of as a pair (\square, D) , with D a dual equivalence class of rectification shape β , and \square an inner co-corner of D , such that the shape of $\square \sqcup D$ is γ^c/α . We represent elements of $\text{DE}(\alpha, \beta, \square, \gamma)$ similarly, with \square as an outer co-corner.

We will occasionally refer to the element as D if the position of the \square is understood. Similar remarks apply to $\text{LR}(\alpha, \square, \beta, \gamma)$ and $\text{LR}(\alpha, \beta, \square, \gamma)$, and we write (\square, T) or (T, \square) (or simply T) to denote elements of these sets.

2.4.1 Connection to tableau promotion

Combinatorially, ω can be thought of as a commutator of well-known operations on Young tableaux. Computing $\text{esh}(\square, T)$ is equivalent to the following steps:

- **Rectification.** Treat the \square as having value 0 and being part of a semistandard tableau $\tilde{T} = \square \sqcup T$. Rectify, i.e. shuffle (S, \tilde{T}) to (\tilde{T}', S') , where S is an arbitrary straight-shape tableau.
- **Promotion (see [21]).** Delete the 0 of \tilde{T}' and rectify the remaining tableau. Label the resulting empty outer corner with the number $\ell(\beta) + 1$.
- **Un-rectification.** Un-rectify the new tableau by shuffling once more with S' . Replace the $\ell(\beta) + 1$ by \square .

Note that the promotion step corresponds to shuffling the \square past the rest of the rectified tableau. Thus, evacuation-shuffling corresponds to conjugating the promotion operator (on skew tableaux) by rectifying the tableau. Likewise, ω is the *commutator* of promotion and rectification.³

3 A local algorithm for evacuation-shuffling

We will now define *local evacuation-shuffling*, a local rule for computing esh . This section is devoted to the definition of the algorithm and proofs of its elementary properties. In Section 4, we will prove that local evacuation-shuffling is the same as esh .

The base case of the algorithm is the *Pieri case*, where β is a one-row partition. In this case, esh was computed in Theorem 5.10 of [10], and we recall it here. We will give an alternative proof of the Pieri case in Section 4, in part because the complete algorithm relies heavily on our understanding of it.

Theorem 3.1 (Pieri case) *Let β be a one-row partition. Then $\text{esh}(\square, T)$ exchanges \square with the nearest $1 \in T$ prior to it in reading order, if possible. If, instead, the \square precedes all 1's in reading order, esh exchanges \square with the last 1 in reading order (a **special jump**).*

We give two examples, illustrating the possible actions of esh and the more familiar sh .

1. If the skew shape contains a (necessarily unique) vertical domino:

$$\begin{array}{ccc} \begin{array}{c} \square 1 1 \\ 1 1 \\ 1 \end{array} & \begin{array}{c} \xrightarrow{\text{esh}} \\ \xleftarrow{\text{sh}} \end{array} & \begin{array}{c} 1 1 1 \\ 1 \square \\ 1 \end{array} \end{array}$$

2. Otherwise, the action of $\text{esh} \circ \text{sh}$ cycles the \square through the rows of γ^c/α :

$$\begin{array}{ccc} \begin{array}{c} \square 1 1 \\ 1 1 \\ 1 \end{array} & \xrightarrow{\text{esh}} & \begin{array}{c} 1 1 1 \\ 1 \square \\ 1 \end{array} & \xrightarrow{\text{sh}} & \begin{array}{c} 1 1 1 \\ \square 1 \\ 1 \end{array} \\ \begin{array}{c} 1 1 1 \\ \square \\ 1 \end{array} & \xrightarrow{\text{esh}} & \begin{array}{c} 1 1 1 \\ 1 1 \square \\ 1 \end{array} & \xrightarrow{\text{sh}} & \begin{array}{c} \square 1 1 \\ 1 1 \\ 1 \end{array} \end{array}$$

³ We note, however, that ω is not a commutator in the sense of group theory, since it involves maps between two different sets. In particular, as computed in Theorem 1.6, ω need not be an even permutation.

3.1 The algorithm

We now give the definition of the local algorithm.

Definition 3.2 Let $(\boxtimes, T) \in \text{LR}(\alpha, \beta, \square, \gamma)$. We define *local evacuation-shuffling*,

$$\text{local-esh} : \text{LR}(\alpha, \beta, \square, \gamma) \rightarrow \text{LR}(\alpha, \square, \beta, \gamma),$$

by the following algorithm.

- **Phase 1.** If the \boxtimes does not precede all of the i 's in reading order, switch \boxtimes with the nearest i *prior* to it in reading order. Then increment i by 1 and repeat Phase 1.
If, instead, the \boxtimes precedes all of the i 's in reading order, go to Phase 2.
- **Phase 2.** If the suffix from \boxtimes is not tied for $(i, i + 1)$, switch \boxtimes with the nearest i *after it* in reading order whose suffix is tied for $(i, i + 1)$. Either way, increment i by 1 and repeat Phase 2 until $i = \ell(\beta) + 1$.

Remark 3.1 (Alternate description of Phase 2) We will sometimes use the following equivalent description of Phase 2, which we call the *step-by-step* version of Phase 2:

- **Phase 2' (step-by-step).** If the suffix from \boxtimes is not tied for $(i, i + 1)$, switch \boxtimes with the nearest i after it in reading order. Repeat this step until the suffix becomes tied for $(i, i + 1)$. Then increment i and repeat Phase 2'.

Remark 3.2 Phase 1 is identical to the Pieri case *unless* the Pieri case calls for a special jump.

Note that in Phase 2, it is not obvious that we can find any i with suffix tied for $(i, i + 1)$. We show below, however, that T remains ballot (and semistandard) throughout the algorithm. Consequently, the topmost i is such a square (or \boxtimes itself, if \boxtimes is above this i).

In Phase 1, \boxtimes moves down and to the left; in Phase 2 (or 2'), \boxtimes instead moves to the right and up. We refer to the squares occupied by the box during the step-by-step algorithm as the *evacu-shuffle path*. See Figures 2 and 10 for examples.

Remark 3.3 (Algorithmic complexity) Non-local evacuation-shuffling, as defined in Section 2.4, has running time $O(|\alpha| \cdot b)$, where $b = \ell(\beta) + \ell(\beta^*)$. The local algorithm does not involve the α shape directly and is faster, with running time $O(b)$. Computing the entire orbit decomposition of ω on $\text{LR}(\alpha, \square, \beta, \gamma)$, using the local algorithm, therefore takes $O(b \cdot c_{\alpha, \boxtimes, \beta, \gamma}^{\square})$ steps. See Corollary 4.19.

Definition 3.3 We use the following terminology for the i -th step of local-esh:

- Pieri _{i} – a *regular Pieri jump*, a Phase 1 move in which the \boxtimes moves down-and-left.
- Vert _{i} – a *vertical slide*, a Phase 1 move in which the \boxtimes moves strictly down.
- Jump _{i} – a *Phase 2 jump*, a move in Phase 2 involving the $(i, i + 1)$ suffixes.

When using Phase 2', we will index moves by their position along the evacu-shuffle path. We write:

- CPieri _{j} – a *conjugate Pieri jump*, a Phase 2 move in which the \boxtimes moves up-and-right.
- Horiz _{j} – a *horizontal slide*, a Phase 2 move in which the \boxtimes moves strictly right.

Thus a Phase 2 jump consists of, in general, a possibly empty sequence of conjugate Pieri moves and horizontal slides.

We also say that s is the *transition step* if the algorithm switches to Phase 2 while $i = s$. If the algorithm remains in Phase 1 throughout, we say the transition step is $s = \ell(\beta) + 1$.

3.2 Examples

We give two examples of our algorithm. For an online animation, see [5].

Example 3.1 Let

$$T = \begin{array}{ccccccc} & & & & & & 1 & 1 & 1 \\ & & & & & \times & 1 & 1 & 2 & 2 \\ & & & & 1 & 2 & 2 & 3 & & \\ & & & 3 & 3 & 4 & & & & \\ & & 4 & 4 & & & & & & \\ 2 & 3 & 5 & & & & & & & \end{array} .$$

We compute $\text{local-esh}(\boxtimes, T)$. We start in Phase 1 with $i = 1$, and do a vertical slide past the 1's, then a regular Pieri jump past the 2's:

$$\begin{array}{ccccccc} & & & & & & 1 & 1 & 1 \\ & & & & & \times & 1 & 1 & 2 & 2 \\ & & & & 1 & 2 & 2 & 3 & & \\ & & & 3 & 3 & 4 & & & & \\ & & 4 & 4 & & & & & & \\ 2 & 3 & 5 & & & & & & & \end{array} \xrightarrow{\text{Vert}_1} \begin{array}{ccccccc} & & & & & & 1 & 1 & 1 \\ & & & & & 1 & 1 & 1 & 2 & 2 \\ & & & & \times & 2 & 2 & 3 & & \\ & & & 3 & 3 & 4 & & & & \\ & & 4 & 4 & & & & & & \\ 2 & 3 & 5 & & & & & & & \end{array} \xrightarrow{\text{Pieri}_2} \begin{array}{ccccccc} & & & & & & 1 & 1 & 1 \\ & & & & & 1 & 1 & 1 & 2 & 2 \\ & & & 1 & 2 & 2 & 3 & & & \\ & & 2 & 2 & 2 & 3 & & & & \\ & & 3 & 3 & 4 & & & & & \\ & & 4 & 4 & & & & & & \\ \times & 3 & 5 & & & & & & & \end{array}$$

Since the \boxtimes now precedes all the 3's in reading order, we transition to Phase 2. We look for the first 3 after the \boxtimes (or \boxtimes itself) whose $(3, 4)$ -suffix is tied. We interchange the \boxtimes with that 3. We repeat for 4 (interchanging the \boxtimes with the last 4, in this case). For 5, the $(5, 6)$ -suffix of the \boxtimes is already tied, since the \boxtimes is past all the 5's. Thus the \boxtimes does not move further. Phase 2 is as follows:

$$\begin{array}{ccccccc} & & & & & & 1 & 1 & 1 \\ & & & & & 1 & 1 & 1 & 2 & 2 \\ & & & 2 & 2 & 2 & 3 & & & \\ & & 3 & 3 & 4 & & & & & \\ & & 4 & 4 & & & & & & \\ \times & 3 & 5 & & & & & & & \end{array} \xrightarrow{\text{Jump}_3} \begin{array}{ccccccc} & & & & & & 1 & 1 & 1 \\ & & & & & 1 & 1 & 1 & 2 & 2 \\ & & & 2 & 2 & 2 & 3 & & & \\ & & 3 & 3 & 4 & & & & & \\ & & 4 & 4 & & & & & & \\ 3 & \times & 5 & & & & & & & \end{array} \xrightarrow{\text{Jump}_4} \begin{array}{ccccccc} & & & & & & 1 & 1 & 1 \\ & & & & & 1 & 1 & 1 & 2 & 2 \\ & & & 2 & 2 & 2 & 3 & & & \\ & & 3 & 3 & \times & & & & & \\ & & 4 & 4 & & & & & & \\ 3 & 4 & 5 & & & & & & & \end{array} = \text{local-esh}(T)$$

Note that Jump_3 corresponds in the step-by-step algorithm to Horiz_3 , and the portion of the evacu-shuffle path corresponding to Jump_4 is the sequence of moves $\text{CPieri}_4, \text{Horiz}_5, \text{CPieri}_6$.

We will see later (Corollary 4.16) that the transition step of $s = 3$ indicates that the partition $\beta = (6, 5, 4, 3, 1)$ has an outer co-corner in its third row, and that the evacu-shuffle path formed by the step-by-step algorithm therefore has $s + \beta_s = 7$ boxes, including both endpoints.

Example 3.2 (Vertical Pieri case) As another example, we illustrate the action of $\omega = \text{sh} \circ \text{local-esh}$ in the transpose of the Pieri case, where the skew shape is a vertical strip and $\beta = (1, 1, \dots, 1)$ is a single column.

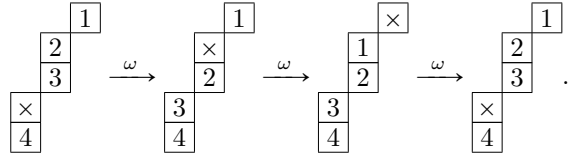
Let

$$T = \begin{array}{c} \boxed{1} \\ \boxed{2} \\ \boxed{3} \\ \times \\ \boxed{4} \end{array} .$$

The tableau is already in Phase 2 at the step $i = 1$. Since the $(1, 2)$ -suffix and the $(2, 3)$ -suffix of the \boxtimes are already tied, the next step in the evacu-shuffle path is a CPieri move that interchanges the \boxtimes with the 3. At this point all higher suffixes are tied, and we are done. For the shuffle step, the box then slides up the second column via jeu de taquin. We find:

$$\omega(T) = \begin{array}{c} \boxed{1} \\ \times \\ \boxed{2} \\ \boxed{3} \\ \boxed{4} \end{array} .$$

The box continues moving from one column to the next in the until it reaches the top. For the final tableau, the evacuation shuffle consists only of Phase 1 moves and returns to T . The ω -orbit of T is therefore:



3.3 Properties preserved by local evacuation shuffling

We will require the fact that the tableau remains semistandard and ballot during local evacuation-shuffling, and moves past the strip of i 's at the i th step of the default algorithm.

Theorem 3.4 *Let T , including the \boxtimes , be a tableau that appears in the step-by-step (Phase 2') computation of $\text{local-esh}(\boxtimes, T_1)$ for some pair $(\boxtimes, T_1) \in \text{LR}(\alpha, \square, \beta, \gamma)$. Then:*

- (1) *Omitting the \boxtimes , the reading word of T is ballot.*
- (2) *Omitting the \boxtimes , the rows (resp. columns) of T are weakly (resp. strictly) increasing.*
- (3) *If $T = T_i$ appears just before the i -th step of the default (not step-by-step) algorithm, then the \boxtimes is an outer co-corner of the collection of squares in T having entries $1, \dots, i-1$, and an inner co-corner of the squares in T having entries i, \dots, t .*

Proof. We first show that the conditions hold for the tableaux occurring via the default algorithm. Let T_i be the tableau before the i -th move, using the default description of Phase 2. Conditions (1)-(3) are clearly satisfied in the starting tableau T_1 . Now let $i \geq 1$ and suppose $T = T_{i+1}$. Assume for induction that the conditions are satisfied for T_i .

Case 1: Suppose T_i is in Phase 1, i.e., a Phase 1 move is applied to T_i to get T_{i+1} .

We first check that T_{i+1} satisfies (2) and (3). Since the move from T_i to T_{i+1} was a vertical slide or Pieri move that switches the \boxtimes with the next i in reverse reading order, the old position of the \boxtimes is now filled with an i . This position must satisfy (2) in T_{i+1} , since T_i satisfied condition (3) and the only way an i could be below this square in T_i is if a vertical slide occurs (in which case it's no longer there in T_{i+1}). All other rows and columns clearly still satisfy (2), and by the definition of the Phase 1 moves we see that T_{i+1} satisfies (3) as well.

We now check that T_{i+1} satisfies (1). The effect of the move on the reading word is to move a single i entry later in the word, so we need only check that the $(i-1, i)$ -subword is still ballot after the move. This is vacuous if $i = 1$, so assume $i \geq 2$.

Let x and z be the positions of \boxtimes in T_i and T_{i+1} respectively. The only suffixes affected by the Phase 1 move are the suffixes of squares y that occur weakly after x and strictly before z in reading order. Let y be such a square. Since $i \geq 2$, we know x contained an $i-1$ in T_{i-1} , and that this $i-1$ moved later in the reading word to form T_i . Since the suffix of y was ballot in T_{i-1} , it follows that in T_i the suffix of y has at least one more $i-1$ than i . Thus the suffix of y formed by replacing x by i is ballot as well.

Case 2: Suppose T_i is in Phase 2, i.e., a Phase 2 move will be applied to T_i to get T_{i+1} .

We first show (2). If the \boxtimes moves, the condition (3) on T_i shows that the old location, say x , of \boxtimes becomes semistandard when filled with i in T_{i+1} , except possibly if the square just below x is also filled with i . If the previous move was Phase 1 or if $i = 1$, then this is impossible since then we would stay in Phase 1 using a vertical slide.

Otherwise, if the previous move was Phase 2, assume for contradiction that the square below x contains i . Then it contained i in T_{i-1} and T_i as well. Consider the leftmost $i-1$ in x 's row in T_i , or \boxtimes if there are no other i 's. Let y be the square below it, demonstrated with $i = 2$ below:

$$\begin{array}{|c|c|c|c|c|} \hline 1 & 1 & \cdots & 1 & \boxtimes \\ \hline y & 2 & \cdots & 2 & 2 \\ \hline \end{array}$$

We have $y = i$ since the tableau is semistandard. By definition, the suffix from \boxtimes in T_i is tied for $(i-1, i)$. Hence, the *weak* suffix starting at y is not ballot for $(i-1, i)$. This contradicts ballotness of T_{i-1} . Thus T_{i+1} satisfies (2).

To check (3), we wish to show that the new position of \boxtimes in T_{i+1} , when filled with i , was an outer corner of the strip of i 's in T_i . Indeed, if not then since the i 's form a horizontal strip it must be directly to the left of another i , which contradicts ballotness of T_i (since the weak suffix of the \boxtimes is already tied for $(i, i+1)$, and so the suffix of the i to the right would not be ballot). Since T_i is semistandard, the \boxtimes is then also an inner co-corner of the entries larger than i in T_{i+1} .

Finally, we check (1), that T_{i+1} is ballot. If the reading word is unchanged by the move, we are done. Otherwise, it has moved a single i earlier in the word. In the latter case we only need to check that the $(i, i + 1)$ -subword is still ballot after the move.

By definition, we switch the \boxtimes (say in position x) with the first i after it whose $(i, i + 1)$ -suffix is tied (say in position z). This does not affect any suffix starting before x or weakly after z , so let y be a square between x and z in reading order, possibly equal to x . If y contains an i in T_i , its suffix is not tied before the move, hence has strictly more i 's than $i + 1$'s. Thus the suffix remains ballot after losing an i . Otherwise, let y' be the closest square containing i prior to y in the reading word. Since T_i is semistandard, the suffix from y contains as many i 's, and at most as many $i + 1$'s, as the suffix from y' . Since the latter remains ballot, the former does as well.

This completes Case 2.

Finally, to deduce properties (1) and (2) for the step-by-step algorithm, consider that Jump_i corresponds to moving the \boxtimes past a portion of the horizontal strip of i 's. Since the tableaux before and after the jump are semistandard and ballot, it's easy to check that each intermediate tableau (arising in Phase 2') is semistandard and ballot as well. \square

3.4 Reversing the algorithm

We now give an algorithm that undoes local-esh.

Definition 3.5 We define the *reverse (local) evacuation-shuffle* of $(T', \boxtimes) \in \text{LR}(\alpha, \beta, \square, \gamma)$ to be the pair (\boxtimes, T) of the same total shape, defined by the following algorithm.

- Set $i = t$.
- **Reverse Phase 2.** If the suffix of the \boxtimes has strictly more i 's than $i + 1$'s, go to Reverse Phase 1. Otherwise, choose the first i (or \boxtimes) *prior* to the \boxtimes in reading order whose weak suffix (including itself) has exactly as many $i - 1$'s as i 's. If no such entry exists, choose the very first i in reading order. Interchange this choice of i (or \boxtimes) with the \boxtimes . Decrement i and repeat this step.
- **Reverse Phase 1.** Switch \boxtimes with the nearest i *after* it in reading order. Decrement i and repeat this step until $i = 0$.

Theorem 3.6 *Reverse local evacuation shuffling is the inverse of local evacuation shuffling.*

Proof. Let $(\boxtimes, T) \in \text{LR}(\alpha, \beta, \square, \gamma)$ and put $\text{local-esh}(\boxtimes, T) = (T', \boxtimes)$. We show that the reverse evacuation shuffle of (T', \boxtimes) is equal to (\boxtimes, T) . Since local-esh is a function between sets of the same cardinality, we will be done.

Let $\beta = (\beta_1, \dots, \beta_t)$ be the content of T . Suppose the local evacuation shuffle of (\boxtimes, T) consists of k moves in Phase 1 and $t - k$ in Phase 2. If $k = t$ then the last step is still in Phase 1, coming from a Pieri move across the horizontal strip of t 's. Then after this move, the $(t, t + 1)$ suffix is not tied because there are no $t + 1$'s and there is at least one t after the \boxtimes . Thus there is no Reverse Phase 2 when applying the reverse algorithm; it starts immediately in Reverse Phase 1.

Otherwise, if $k < t$, the local evacuation shuffle ended with a sequence of Jump moves. We show inductively that each Reverse Phase 2 step undoes a Phase 2 step in succession. Suppose that reverse-shuffling past the $t, t - 1, \dots, t - i + 1$ leaves us at the end of step $t - i$ of local-esh, and that step $t - i$ was a Jump move.

In what remains, let $r = t - i$. Let T_r and T_{r+1} be the respective tableaux before and after the Jump_r step, and let s and s' denote the squares that contain the \boxtimes in T_r and T_{r+1} respectively. Then T_{r+1} is formed by switching the \boxtimes (from position s) with the first r after it (in position s') whose $(r, r + 1)$ suffix is tied. The Reverse Phase 2 step, backwards past the r strip, would take the \boxtimes and switch it with either the first r to the left whose weak $(r - 1, r)$ suffix is tied, or the very first r in reading order. We wish to show that this r is in location s in T_{r+1} .

First suppose that the $(r - 1)$ st step was also a Jump move. Then in T_r , the $(r - 1, r)$ -suffix of the \boxtimes is tied. So, in T_{r+1} , the *weak* suffix starting at square s is tied for $(r - 1, r)$ as well. Assume for contradiction that there were another r between s and s' in reading order whose weak $(r - 1, r)$ suffix is tied in T_{r+1} . Then in T_r , that suffix would have strictly more r 's than $r - 1$, contradicting ballotness of T_r (see Lemma 3.4). Thus the r in square s is the first r to the left of the \boxtimes in reading order in T_{r+1} whose weak $(r - 1, r)$ suffix is tied, and so the reverse process moves the \boxtimes back to square s .

Otherwise, if the $(r - 1)$ st step was a Pieri (Phase 1) move, then in T_r , the $(r - 1, r)$ -suffix of the \boxtimes cannot be tied, since T_{r-1} is ballot and we replaced the \boxtimes with another $r - 1$, which adds to that suffix.

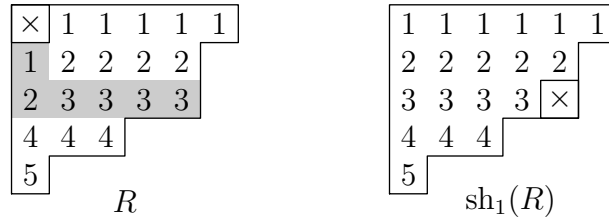


Fig. 6 An example of a rectified tableau R with transition step $s = 3$. The rectification path of the box is down to row s and then directly right.

Notice also that since the (r) th step is the first step in Phase 2, the \boxtimes must precede all r 's in reading order in T_r . Thus square s is the leftmost r in reading order in T_{r+1} , and no other r can have weakly tied $(r-1, r)$ suffix by the same ballotness argument as above. It follows that the reverse move does switch the \boxtimes with the r in square s in this case as well.

This shows that the Jump moves are undone by the Reverse Phase 2 moves, and that the reverse algorithm switches to Reverse Phase 1 exactly after undoing all the forward Phase 2 moves. It is easy to see that a Reverse Phase 1 move is the inverse of a forward Phase 1 move as well, so this algorithm reverses the local evacuation shuffling algorithm. \square

Remark 3.4 The algorithm in Definition 3.5 reverses the ordinary (not step-by-step) algorithm. To reverse the step-by-step algorithm, we simply break each Reverse Phase 2 jump into smaller steps, interchanging \boxtimes with each i that precedes it in succession until it reaches the first i whose suffix had exactly as many i 's as $i-1$'s (before switching it with \boxtimes).

4 Proof of local algorithm

In this section we prove the following:

Theorem 4.1 *Local evacuation-shuffling is the same map as evacuation-shuffling, that is, for any $(\boxtimes, T) \in \text{LR}(\alpha, \beta, \square, \gamma)$,*

$$\text{local-esh}(\boxtimes, T) = \text{esh}(\boxtimes, T).$$

The main idea is as follows. In computing esh , when we first rectify (\boxtimes, T) , we obtain a tableau R of the form shown in Figure 6. In particular, the \boxtimes is in the inner corner and the total shape of $\boxtimes \sqcup R$ is formed by adding an outer co-corner to β in some row s .

When shuffling the \boxtimes past R , the \boxtimes follows a path directly down to row s and then directly over to the end of row s , as shown. It turns out that this corresponds to a more refined process in which we shuffle the \boxtimes past rows $1, 2, \dots, s-1$, then shuffle it past the β_s vertical strips formed by greedily taking vertical strips from the right of the bottom $\ell(\beta) - s + 1$ rows of the tableau. We call this decomposition into horizontal and vertical strips the *s -decomposition*, as illustrated in Example 4.1.

We show that each step of Phase 1 of local-esh corresponds to a single move of the \boxtimes past a horizontal strip, and that the transition step is s . We then show, using the *antidiagonal symmetry* suggested by Figure 2, that the movements of the \boxtimes during Phase 2 correspond similarly to shuffles past each of the s -decomposition's vertical strips.

Definition 4.2 Let V be a vertical strip, i.e., no row of V contains more than one entry. Let \boxtimes be an inner co-corner of V . Then we define the *conjugate move* to be the action of switching the location of the \boxtimes with the square of V that comes directly *after* it in reading order.

4.1 s -decompositions

We formalize the notion of an s -decomposition and extend it to an arbitrary Littlewood-Richardson tableau as follows.

Definition 4.3 (s -decompositions) Let $1 \leq s \leq \ell(\beta) + 1$.

1. Let β' be obtained by deleting the first $s - 1$ rows of β . Let r_1, \dots, r_{s-1} be one-row partitions with lengths the first $s - 1$ rows of β , and let c_s, \dots, c_t be one-column partitions of lengths given by the columns of β' in reverse order. (Here $t = \beta_s + s - 1$.) We say that $(r_1, \dots, r_{s-1}, c_s, \dots, c_t)$ is the ***s*-decomposition** of the shape β .
2. Let $T \in \text{LR}_\mu^\lambda(\beta)$ be a ballot SSYT. The ***s*-decomposition of T** is the decomposition of T into its first $s - 1$ horizontal strips H_1, \dots, H_{s-1} where H_i consists of the entries labeled i in T , followed by β_s vertical strips V_s, \dots, V_t , where V_{t+1-i} contains the i -th-from-last instance (when possible), in reading order, of each of the entries $j \geq s$.

The s -decomposition of the highest weight filling of β will be of particular importance.

Example 4.1 The 3-decomposition of the tableau T used in Example 3.7 is shown in Figure 7 (note that 3 is the transition point for the initial position of the \boxtimes in that example). Notice that this corresponds to the s -decomposition of the rectified tableau of shape β shown in Figure 8.

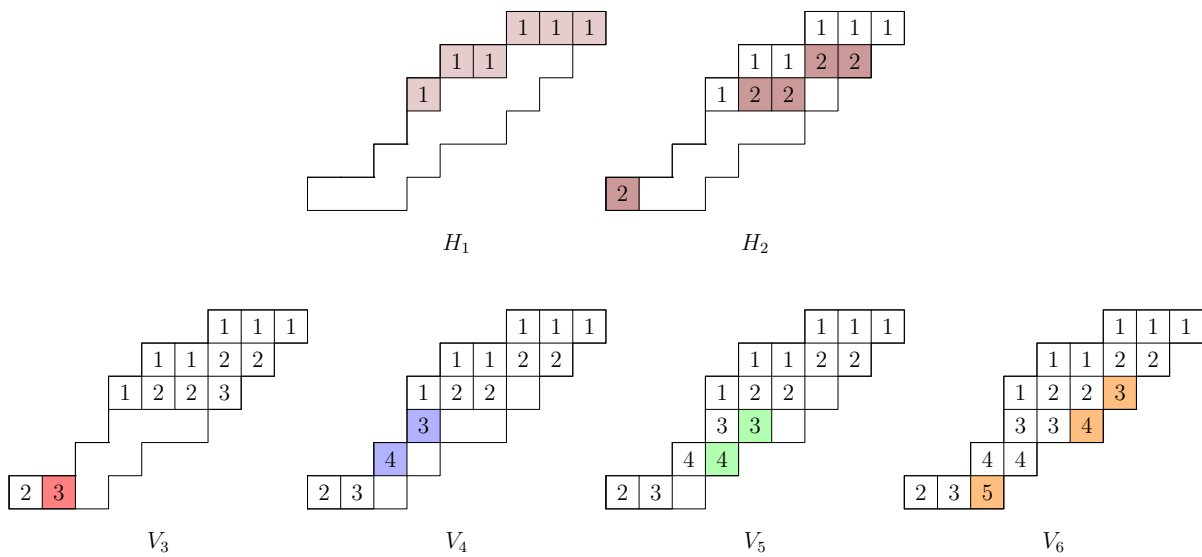


Fig. 7 The 3-decomposition into horizontal and vertical strips of the tableau discussed in Example 4.1.

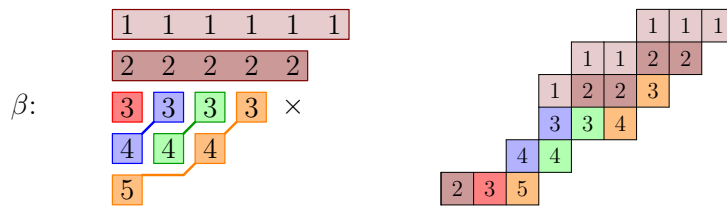


Fig. 8 At left, the 3-decomposition of β , where β is the rectification shape of the tableau T from Example 4.1. The 3-decomposition of T is color-coded at right.

Lemma 4.4 Let $(\boxtimes, T) \in \text{LR}(\alpha, \square, \beta, \gamma)$, and let $H_1, \dots, H_{s-1}, V_s, \dots, V_t$ be its s -decomposition. Then

- (i) H_1, \dots, H_{s-1} are horizontal strips with H_i extending H_{i-1} for all i .
- (ii) V_s, \dots, V_t are vertical strips, with V_s extending H_{s-1} and V_j extending V_{j-1} for all j .
- (iii) For any i , H_i rectifies to the i th row in $\text{rect}(T)$.
- (iv) For any i , V_{t-i+1} rectifies to the i th vertical strip in the s -decomposition of $\text{rect}(T)$.

Proof. To prove (i) and (iii), note that H_i rectifies to the i th row of the highest weight filling of β since it is filled with all i 's in T . They form a horizontal strip because T is semistandard.

To prove (iv), let $j \geq s$. If we order the j 's in the highest weight filling of β in reading order, then they must occur in that order in T as well, since the reading word of T is Knuth equivalent to that of its rectification and Knuth moves cannot switch equal-valued entries. (See [4] for an introduction to Knuth equivalence.) The vertical strip $\beta^{(t-i+1)}$ in the rectified picture consists of the i th copies from the right of each such entry j , and so in T the entry j occurring in V_{t-i+1} is still the i th from the end.

For (ii), since the reading word of T is ballot, the i th-to-last copy of j must occur strictly after the i th-to-last copy of $j+1$ for any j , and since the tableau is semistandard, this $j+1$ cannot appear strictly to the left of the j . It follows that the $j+1$ in V_{t-i+1} appears in a row strictly below the j in V_{t-i+1} for each j . Therefore, V_{t-i+1} is a vertical strip for all i . Since each of the entries $j \geq s$ appears in V_k before V_{k+1} for all k , the strips must extend each other as well. Finally, V_s extends H_{s-1} because it consists of entries larger than $s-1$ and is the first of each of those entries in its row. \square

Remark 4.1 Lemma 2.10 follows from Lemma 4.4 in the case $s = 1$. To see this, observe that the s -decomposition is, in particular, preserved by jeu de taquin slides applied to T . If we *anti-rectify* T to a tableau of shape \boxplus/β^c , the explicit description of the entries of V_{t-i+1} shows that it forms precisely the i -th-rightmost column of \boxplus/β^c .

Lemma 4.4 allows us to factor Littlewood-Richardson chains into longer chains based on the s -decomposition. In particular, for a horizontal strip H_i or vertical strip V_j in an s -decomposition, let \overline{H}_i and \overline{V}_j be the corresponding Littlewood-Richardson tableaux of content r_i and c_j respectively formed by decreasing the entries appropriately. We have the following map.

Definition 4.5 We write

$$\iota_s : \text{LR}(\alpha, \square, \beta, \gamma) \rightarrow \text{LR}(\alpha, \boxplus, r_1, \dots, r_{s-1}, c_s, \dots, c_t, \gamma)$$

by

$$\iota_s(T_\alpha, \boxplus, T, T_\beta) = (T_\alpha, \boxplus, \overline{H}_1, \dots, \overline{H}_{s-1}, \overline{V}_s, \dots, \overline{V}_t, T_\gamma)$$

where (H_i, V_j) is the s -decomposition of T . We define

$$\iota_s : \text{LR}(\alpha, \beta, \square, \gamma) \rightarrow \text{LR}(\alpha, r_1, \dots, r_{s-1}, c_s, \dots, c_t, \boxplus, \gamma)$$

in a similar fashion.

Note that ι_s is injective, because the process of reducing the strips into Littlewood-Richardson tableau can be reversed by increasing the entries of each \overline{H}_i by $i-1$ and increasing those of \overline{V}_j by $s-1$. We also claim that shuffling any tableau with T is the same as shuffling past each of the H_i and V_j in sequence. This is proven in the two lemmas that follow.

In these lemmas it is helpful to use the language of dual equivalence classes in place of Littlewood-Richardson tableaux (note that s -decompositions and the map ι_s can be similarly defined on dual equivalence classes, by taking the associated classes of the tableaux at each step.)

Lemma 4.6 (Extracting horizontal strips) *Let λ/μ be a skew shape and $\beta = (\beta_1, \dots, \beta_r)$ a partition. Let $\beta' = (\beta_2, \dots, \beta_r)$. Consider the concatenation map on dual equivalence classes,*

$$\text{DE}_\mu^\lambda(\beta_1, \beta') \rightarrow \bigsqcup_{\tau} \text{DE}_\mu^\lambda(\tau), \quad (D_1, D') \mapsto D_1 \sqcup D',$$

where the union is over $\tau \subseteq \beta'$ with τ/β_1 a horizontal strip of length β_1 .

There is a unique ‘factorization’ injection, a right inverse to concatenation,

$$\iota_H : \text{DE}_\mu^\lambda(\beta) \hookrightarrow \text{DE}_\mu^\lambda(\beta_1, \beta').$$

It is ‘compatible with shuffling’ in the sense that the following diagram commutes, for any partition π :

$$\begin{array}{ccc} \text{DE}_\mu^\lambda(\beta, \pi) & \xrightarrow{\iota_H} & \text{DE}_\mu^\lambda(\beta_1, \beta', \pi) \\ \text{sh}_1 \downarrow & & \downarrow \text{sh}_1 \text{sh}_2 \\ \text{DE}_\mu^\lambda(\pi, \beta) & \xrightarrow{\iota_H} & \text{DE}_\mu^\lambda(\pi, \beta_1, \beta'). \end{array}$$

We think of ι_H as ‘extracting the highest-weight horizontal strip’ from the inner edge of the shape.

Proof. Let $D \in \text{DE}_\mu^\lambda(\beta)$. By the Pieri rule, at least one pair $(D_1, D') \in \text{DE}_\mu^\lambda(\beta_1, \beta')$ has $D_1 \sqcup D' = D$. We wish to define $i_H(D) := D'$.

Suppose (E_1, E') is another such pair. Let D_μ be the unique dual equivalence class of straight shape μ . Perform shuffles to obtain

$$\begin{aligned} \text{sh}_2\text{sh}_1(D_\mu, D_1, D') &= (D_{\beta_1}, \tilde{D}', \tilde{D}_\mu), \\ \text{sh}_2\text{sh}_1(D_\mu, E_1, E') &= (D_{\beta_1}, \tilde{E}', \tilde{E}_\mu). \end{aligned}$$

Concatenation is compatible with shuffling, so $\tilde{D}_\mu = \tilde{E}_\mu$, as both correspond to shuffling D_μ with D . Moreover, we have $\tilde{E}', \tilde{D}' \in \text{DE}_{\beta_1}^\beta(\beta')$, which is a singleton set. (Note that β/β_1 is effectively a straight shape.) So $\tilde{E}' = \tilde{D}'$ and so, after shuffling once more with \tilde{D}_μ , we conclude $(E_1, E') = (D_1, D')$. Finally, ι_H is compatible with shuffling because concatenation is (and ι_H is a right inverse to concatenation). \square

Lemma 4.7 (Vertical strips and outer strips) *Let c be the first column of β , and let β'' be β with c deleted. There are injections*

$$\begin{aligned} \iota_H^* &: \text{DE}_\mu^\lambda(\beta) \hookrightarrow \text{DE}_\mu^\lambda(\beta', \beta_1), \\ \iota_V &: \text{DE}_\mu^\lambda(\beta) \hookrightarrow \text{DE}_\mu^\lambda(c, \beta''), \\ \iota_V^* &: \text{DE}_\mu^\lambda(\beta) \hookrightarrow \text{DE}_\mu^\lambda(\beta'', c), \end{aligned}$$

where ι_H^* corresponds to extracting the maximal horizontal strip along the outer (southeast) edge of the shape, and ι_V, ι_V^* extract maximal vertical strips from the inner and outer edges, respectively. Each of these is a right inverse to concatenation and is compatible with shuffling.

Proof. We obtain ι_H^* by rotating tableaux, that is, $\iota_H^*(D) = \iota_H(D^R)^R$. Similarly, we obtain ι_V by transposing, and ι_V^* by rotating and transposing. \square

Notice that rotating and transposing D exchanges ι_H with ι_V^* . By Lemma 2.10, it follows that the maximal outer vertical strips extracted by ι_V^* are the same as those of the 1-decomposition of β . More generally, ι_s is the composition of several applications of ι_H and ι_V^* : if $D = \text{DE}(T)$, we have

$$\iota_s(T) = \text{LR} \circ (\iota_V^*)^{\beta_s} (\iota_H)^{s-1}(D).$$

We now refine evacuation-shuffling by factoring esh into a sequence of operations $e_1, \dots, e_{s-1+\beta_s}$, corresponding to an s -decomposition.

Definition 4.8 For a fixed s , and for $1 \leq i \leq t = s-1+\beta_s$, we define the *partial evacuation shuffle*

$$e_i : \text{LR}(\alpha, r_1, \dots, \boxed{\square}, r_i, \dots, c_t, \gamma) \rightarrow \text{LR}(\alpha, r_1, \dots, r_i, \boxed{\square}, \dots, c_t, \gamma)$$

by the composition

$$e_i = (\text{sh}_1\text{sh}_2 \cdots \text{sh}_{i+1})\text{sh}_i(\text{sh}_{i+1} \cdots \text{sh}_2\text{sh}_1).$$

(If $i \geq s$, the r_i in the definition above should be replaced by c_i .)

Combinatorially, e_i is a modified version of evacuation shuffling, where:

1. We rectify the first $i-1$ strips, obtaining a straight shape tableau B ;
2. We then perform a ‘‘relative’’ evacuation-shuffle on $\boxed{\square}$ and the i -th strip: we rectify them only up to the outer boundary of B , then shuffle and un-rectify.

Lemma 4.9 *For any $T \in \text{LR}(\alpha, \square, \beta, \gamma)$, and any s , we have*

$$\iota_s(\text{esh}(T)) = e_t \cdots e_1(\iota_s(T)).$$

Proof. Recall that $\text{esh} : \text{LR}(\alpha, \square, \beta, \gamma) \rightarrow \text{LR}(\alpha, \beta, \square, \gamma)$ is the composition

$$\text{LR}(\alpha, \square, \beta, \gamma) \xrightarrow{\text{sh}_2\text{sh}_1} \text{LR}(\square, \beta, \alpha, \gamma) \xrightarrow{\text{sh}_1} \text{LR}(\beta, \square, \alpha, \gamma) \xrightarrow{\text{sh}_1\text{sh}_2} \text{LR}(\alpha, \beta, \square, \gamma)$$

The maps ι_H and ι_V^* respect shuffling (in the sense stated in Lemmas 4.6 and 4.7, translated from dual equivalence classes to the corresponding Littlewood-Richardson tableaux). We thus write

$$\begin{array}{ccc}
 \text{LR}(\alpha, \square, \beta, \gamma) & \xrightarrow{\iota_s} & \text{LR}(\alpha, \square, r_1, \dots, c_t, \gamma) \\
 \downarrow \text{sh}_2 \text{sh}_1 & & \downarrow \text{sh}_{t+1} \dots \text{sh}_2 \text{sh}_1 \\
 \text{LR}(\square, \beta, \alpha, \gamma) & \xrightarrow{\iota_s} & \text{LR}(\square, r_1, \dots, c_t, \alpha, \gamma) \\
 \downarrow \text{sh}_1 & & \downarrow \text{sh}_t \dots \text{sh}_1 \\
 \text{LR}(\beta, \square, \alpha, \gamma) & \xrightarrow{\iota_s} & \text{LR}(r_1, \dots, c_t, \square, \alpha, \gamma) \\
 \downarrow \text{sh}_1 \text{sh}_2 & & \downarrow \text{sh}_1 \text{sh}_2 \dots \text{sh}_{t+1} \\
 \text{LR}(\alpha, \beta, \square, \gamma) & \xrightarrow{\iota_s} & \text{LR}(\alpha, r_1, \dots, c_t, \square, \gamma)
 \end{array}$$

Thus we have

$$\iota_s \circ \text{esh} = (\text{sh}_1 \text{sh}_2 \dots \text{sh}_{t+1})(\text{sh}_t \dots \text{sh}_1)(\text{sh}_{t+1} \dots \text{sh}_2 \text{sh}_1) \circ \iota_s.$$

We now write out the composition of the e_i 's as the reverse-ordered product

$$e_t \dots e_1 = \prod_{i=t}^1 (\text{sh}_1 \dots \text{sh}_{i+1}) \text{sh}_i (\text{sh}_{i+1} \dots \text{sh}_1).$$

Notice that, since the shuffles are all involutions, the right-hand term of the i -th factor mostly cancels with the left-hand term of the $(i-1)$ -st factor. After all such cancellations, we are left with the product

$$(\text{sh}_1 \dots \text{sh}_{t+1})(\text{sh}_t \text{sh}_{t+1})(\text{sh}_{t-1} \text{sh}_t) \dots (\text{sh}_3 \text{sh}_4)(\text{sh}_2 \text{sh}_3)(\text{sh}_1 \text{sh}_2) \text{sh}_1.$$

Recall that sh_i commutes with sh_j whenever $|i-j| \geq 2$. Thus we can move the rightmost sh_3 past the sh_1 next to it, then move the rightmost sh_4 past the sh_2 and sh_1 to its right, and so on. We obtain the product

$$(\text{sh}_1 \dots \text{sh}_{t+1}) \text{sh}_t \dots \text{sh}_1 (\text{sh}_{t+1} \dots \text{sh}_1).$$

This matches our expression for esh above. \square

We emphasize that, for each choice of s , we have a *distinct* factorization of esh into partial evacuation-shuffles as above. In our proof of Theorem 4.1, we cannot use the same choice of s for all $(\boxtimes, T) \in \text{LR}(\alpha, \square, \beta, \gamma)$. Our proof relies on a careful choice of s depending on (\boxtimes, T) , which will make the partial steps e_i correspond to the steps of *local* evacuation-shuffling for the particular pair (\boxtimes, T) .

4.2 The Pieri Case, $\beta = (m)$.

We now give the proof of Theorem 3.1, the Pieri case. We give a more detailed statement:

Theorem 4.10 (Pieri case) *Let $\beta = (m)$ be a one-row partition.*

1. *Suppose γ^c/α is not a horizontal strip. Then γ^c/α contains a unique vertical domino; otherwise there is no semistandard filling of γ^c/α using a \boxtimes and 1's.
In this case, $\text{LR}(\alpha, \square, \beta, \gamma)$ and $\text{LR}(\alpha, \beta, \square, \gamma)$ have one element each, since the \boxtimes must be at the top or bottom of the domino. Then esh slides the \boxtimes down.*
2. *Suppose γ^c/α is a horizontal strip having r nonempty rows. There is a natural ordering⁴ of the tableaux*

$$\text{LR}(\alpha, \square, \beta, \gamma) = \{L_1, \dots, L_r\},$$

where L_i is the tableau having \boxtimes at the left end of the i th row of γ^c/α . Likewise,

$$\text{LR}(\alpha, \beta, \square, \gamma) = \{R_1, \dots, R_r\},$$

where R_i is the tableau having \boxtimes at the right end of the i th row of γ^c/α .

⁴ Our ordering is the reverse of the ordering used in [10].

We have the following:

$$\text{esh}(L_i) = R_{i+1} \pmod{r}$$

We will say that $\text{esh}(L_r) = R_1$ is a **special jump**, and any other application of esh to L_i for $i \neq 1$ is **non-special**.

Proof. Part 1 is clear because esh is a bijection between two one-element sets.

For Part 2, it is clear that these are the only fillings. So, it suffices to show that $\text{esh}(L_i) = R_{i+1}$ for any i , where the indices are taken modulo r . We will show this by induction on the size of α .

For the base case, if $\alpha = \emptyset$, then since we are in the case of Part 2, the total shape of the \boxtimes and the tableau is a single row of length $m + 1$. (The other possibility is that the total partition shape is $(m, 1)$, and the \boxtimes slides up and down between the two squares of the first column, which is in Case 1.) So, $\text{LR}(\alpha, \square, \beta, \gamma)$ and $\text{LR}(\alpha, \beta, \square, \gamma)$ both have one element, L_1 and R_1 respectively, and so L_1 must go to R_1 under esh and we are done. Notice that this base case is a special jump.

Now, suppose the theorem holds for a given α , and we wish to show it holds for a partition α' formed by adding an outer co-corner to α . Let $T' \in \text{LR}(\alpha', \square, \beta, \gamma')$ for some β and γ' , and let $T \in \text{LR}(\alpha, \square, \beta, \gamma)$ be the tableau formed by the first jeu de taquin slide on T' in the rectification of (\boxtimes, T') in the evacuation-shuffle, where we start with the unique outer co-corner of α that is contained in α' . Here γ is formed from γ' by adding the unique corner determined by this slide.

Note that $T' = L'_i$ for some i , where L'_i is the tableau having the \boxtimes in the i th row from the top in $(\gamma')^c/\alpha'$. Defining R'_i similarly, we wish to show $\text{esh}(L'_i) = R'_{i+1}$ with the indices mod r .

Recall that esh is the procedure of rectifying (\boxtimes, T') , shuffling the box past the rectified tableau, and then unrectifying both using the reverse sequence of slides. Let $S \in \text{LR}(\alpha, \beta, \square, \gamma)$ be the tableau preceding the last unrectification step in forming $S' = \text{esh}(T')$. These steps necessarily involve the same inner and outer co-corners, and so S and T have the same shape. Furthermore, $\text{esh}(T) = S$ by the definition of esh , and so by the induction hypothesis S is formed from T by one of the two Pieri rules.

We will use this to show that S' is formed from T' by the same rules, by considering the rectification/unrectification step that relates them to S and T respectively. We consider the cases of a special jump and a non-special jump separately. Let r be the number of nonempty rows of $(\gamma')^c/\alpha'$.

Case 1: Suppose $T' = L'_i$ for some $i \neq r$. The tableau T is formed by a single inwards jeu de taquin slide on T' , which can either be on the inner co-corner just to the left of the \boxtimes or not.

If the inner co-corner we start at is to the left of the \boxtimes in T' , then since we assumed our shape has no vertical domino, the entire row containing the \boxtimes , say row r , simply slides to the left to form T . Then by the induction hypothesis, S has the \boxtimes at the end of the next row down, either just below the \boxtimes in T (the vertical domino case) or to its left. Clearly S' is formed by sliding the new contents of row r back to the right, and so $S' = R'_{i+1}$ as desired.

Otherwise, if the inner co-corner we start at is not to the left of the \boxtimes in T' , the inwards slide consists of either (a) sliding a horizontal row of 1's to the left, if the co-corner is to the left of but not above a 1, (b) sliding a 1 on an outer corner up by one row, if the co-corner is just above this 1.

In the subcase (a), the number of rows remains unchanged and $T = L_i$. Thus $S = R'_{i+1}$ by the induction hypothesis and we are done. For (b), the number of rows either remains the same and we are done again, or the 1 that we moved up forms a new row. If the new row is above the \boxtimes , then $T = L_{i+1}$, by the induction hypothesis $S = R_{i+2}$, and S' is formed by moving the 1 back down and therefore $S' = R'_{i+1}$, as desired. Otherwise, if the new row is below the \boxtimes , we have $T = L_i$ and $S = R_{i+1}$, keeping in mind that if the \boxtimes is in row i in T then row $i + 1$ is the new row and the \boxtimes is in this new square in S . Therefore we again have $S' = R'_{i+1}$, and we are done.

Case 2: Suppose $T' = L'_r$. Then the \boxtimes is weakly below and strictly to the left of all other entries, and notice that any inwards jeu de taquin slide does not change this property; hence $T = L_q$ where q is the bottom row of T . Then $S = R_1$ by the induction hypothesis, and by the same argument, any outwards jeu de taquin slide doesn't change the property of the \boxtimes being weakly above and strictly to the right of the rest of the entries in S . Hence $S' = R'_1$, as desired. \square

For use in Section 4, we describe how to determine the outcome of the Pieri case based on the location of the \boxtimes in *either* the original skew tableau *or* its rectification:

Proposition 4.11 *Let $\beta = (m)$. The following are equivalent for $T \in \text{LR}(\alpha, \square, \beta, \gamma)$:*

- (i) *Applying esh results in a special jump;*
- (ii) *The \boxtimes precedes the rest of T in reading order;*
- (iii) *The rectification of T , including the \boxtimes , forms a horizontal strip.*

Proof. This follows immediately from the proof of the Pieri case. \square

4.3 The proof of Theorem 4.1

Step 1. Fix $(\boxtimes, T) \in \text{LR}(\alpha, \beta, \square, \gamma)$. We choose $s = s(\boxtimes, T)$ as follows: consider $\text{sh}_1(\text{sh}_2\text{sh}_1(\boxtimes, T))$, the tableau obtained by rectifying, then shuffling \boxtimes past T . Let s be the row containing \boxtimes . We will use the s -decomposition with this choice of s , and compute the effect of $e_t \cdots e_1$ on $(\boxtimes, \iota_s(T))$. We write

$$\iota_s(T) = (H_1, \dots, H_{s-1}, V_s, \dots, V_t).$$

We note that, if we rectify and shuffle the \boxtimes past the entirety of $\iota_s(T)$, the shuffle path of the \boxtimes through the rectification of $\iota_s(T)$ is to move (one square at a time) down to row s , then over to the right. (See Figure 6.)

Step 2. We show that esh and local-esh agree up to Phase 1.

Lemma 4.12 *Suppose $s > 1$ and let $1 \leq i \leq s - 1$. Then $T_i = e_i \cdots e_1(\boxtimes, \iota_s(T))$ agrees with the result of applying i Phase 1 local evacuation-shuffle moves to (\boxtimes, T) .*

Proof. Assume the statement holds for $i - 1$ (this is vacuous for $i = 1$) and write

$$T_{i-1} = e_{i-1} \cdots e_1(\boxtimes, \iota_s(T)) = (H'_1, \dots, H'_{i-1}, \boxtimes, H_i, \dots, H_{s-1}, V_s, \dots, V_t).$$

In T_i , the \boxtimes lies between H_{i-1} and H_i .

We compute $e_i(T_{i-1})$. For simplicity, let H' be the concatenation of H'_1, \dots, H'_{i-1} . We are effectively computing

$$\begin{array}{c} (T_\alpha, H', \boxtimes, H_i, \cdots) \\ \text{sh}_3\text{sh}_2\text{sh}_1 \downarrow \\ (H'', \boxtimes, H'_i, T'_\alpha, \cdots) \\ \text{sh}_2 \downarrow \\ (H'', H''_i, \boxtimes, T'_\alpha, \cdots) \\ \text{sh}_1\text{sh}_2\text{sh}_3 \downarrow \\ (T_\alpha, H''', H'''_i, \boxtimes, \cdots). \end{array}$$

By our definition of s , in the partial rectification $H'' \sqcup \boxtimes \sqcup H'_i$, the sh_2 step causes the box to move down to row $i + 1$ (since $i \leq s - 1$).

In particular, we see that $S = \boxtimes \sqcup H'_i$ forms a straight shape in the partial rectification. Shuffling \boxtimes and H'_i does not change the overall (trivial) dual equivalence class of S ; consequently, e_i has no effect on the dual equivalence classes of T_i other than the individual classes of \boxtimes and H_i .

Moreover, since e_i rectifies (\boxtimes, H_i) to a straight shape, then shuffles and un-rectifies, it must have the same effect as simply applying esh to the pair (\boxtimes, H_i) , i.e. the Pieri Case. Moreover, in the rectification, the \boxtimes shuffled downward rather than right, so by Proposition 4.11, we see that *prior* to rectifying, there was an i below the \boxtimes . Thus we are in the non-special Pieri case, which agrees with the i -th (Phase 1) step of local-esh. \square

Lemma 4.13 *The transition step of local-esh (\boxtimes, T) is s .*

Proof. By a similar argument to the previous lemma, we see that, had we used the $(s + 1)$ -decomposition rather than the s -decomposition, then applying e_s would, after rectifying, slide the \boxtimes all the way to the right through the s -th row. This is the ‘special jump’ of the Pieri case (which would not agree with the behavior of local-esh). By Proposition 4.11, this occurs only when, *prior* to rectifying, there are no s 's below the \boxtimes . This is precisely the condition for local-esh to transition at step s . \square

Step 3. We have shown that local-esh and esh agree up to the transition point of local-esh, and that this corresponds to the bend in the shuffle path of the \boxtimes through the rectification of $\iota_s(T)$. We are left with determining the effects of e_s, \dots, e_t .

Lemma 4.14 (Antidiagonal symmetry) *For $i \geq s$, e_i corresponds to a conjugate move across the vertical strip V_i , as in Definition 4.2.*

Proof. We will prove this for all remaining steps simultaneously. Put $M = e_{s-1} \cdots e_1(T)$. We have

$$M = (T_\alpha, H'_1, \dots, H'_{s-1}, \boxtimes, V_s, \dots, V_t, T_\gamma^R),$$

where each H'_i is a horizontal strip and each V_i a vertical strip. Let M_H, M_V be the concatenations of the H'_i 's and of the V_j 's. (We note that M_H is the union of the first $s-1$ strips of T at the transition point of local-esh, and that M_V is simply the rest of the tableau.)

The remainder of the computation corresponds to partial-evacuation-shuffling the \boxtimes past M_V ,

$$\text{esh}(T) = \text{sh}_1 \text{sh}_2 \text{sh}_3 \circ \text{sh}_2 \circ \text{sh}_3 \text{sh}_2 \text{sh}_1(T_\alpha, M_H, \boxtimes, M_V, T_\gamma^R).$$

We know that, in $\text{sh}_3 \text{sh}_2 \text{sh}_1(M)$, the \boxtimes and M_V class form a straight shape. Thus, by similar reasoning to the proof of Lemma 4.12, the remaining computation is the same as *ordinary* – not partial – evacuation-shuffling the pair (\boxtimes, M_V) . Note that in this (smaller) computation, the \boxtimes slides right after rectifying, i.e. $\text{local-esh}(\boxtimes, M_V)$ begins in Phase 2, so our earlier results do not apply. However, by Lemma 2.16, we may instead write

$$\text{esh}(\boxtimes, M_V) = \text{sh}_3 \text{sh}_4 \circ \text{sh}_3 \circ \text{sh}_4 \text{sh}_3(\boxtimes, M_V),$$

i.e. we may instead anti-rectify outwards, then shuffle and return. To simplify the situation, we ‘rotate and transpose’, obtaining

$$(M'_V, \boxtimes) = \text{LR}(\text{DE}(\boxtimes, M_V)^{R*}),$$

Note that the vertical strips of M_V correspond to the horizontal strips of M'_V after this transformation. That is, M'_V has entries i in the squares of the antidiagonal reflection of the strip V_{t+1-i} . In the rectification of (\boxtimes, M_V) , the \boxtimes was to the left of one square from each V_i . So the anti-rectification of M has the \boxtimes in the leftmost corner, and so (by reflecting over the antidiagonal) the rectification of (M'_V, \boxtimes) has the \boxtimes at the bottom of the first column:

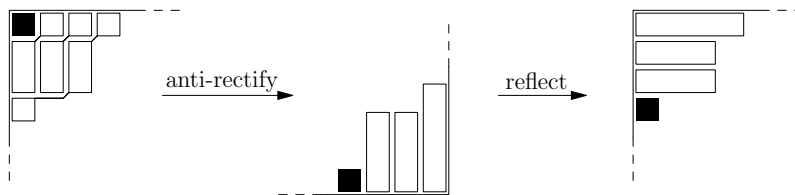


Fig. 9 From left to right, the rectification and anti-rectification of (\boxtimes, M_V) and the rectification of (M'_V, \boxtimes) .

We set $(\boxtimes, N') = \text{esh}(M'_V, \boxtimes)$ and we compare $\text{esh}(\boxtimes, N')$ to $\text{local-esh}(\boxtimes, N')$. By the above observation, $\text{esh}(\boxtimes, N')$ corresponds to a local evacuation-shuffle that stays entirely in Phase 1. Thus, by our existing Lemmas on evacuation-shuffling in Phase 1, we see that the *partial* evacuation-shuffles of \boxtimes through N' correspond to non-special Phase 1 moves applied to the skew tableau. Reflecting back to our original setting (\boxtimes, M_V) , we deduce that the remaining Phase 2 *partial* evacuation shuffles result in successive non-special conjugate moves of the \boxtimes through the strips V_s, \dots, V_t . \square

Step 4. Finally, we prove that the description of e_s, \dots, e_t corresponding to conjugate Pieri moves produces the same \boxtimes movements as Phase 2 of local evacuation-shuffling. Note that this step involves only ballot tableaux, not dual equivalence classes.

Lemma 4.15 *Conjugate moves correspond to nontrivial movements of the \boxtimes , in Phase 2, through its evacu-shuffle path.*

Proof. First, notice that the Phase 2 algorithm, as described in Remark 3.1, can be stated as follows. Starting with $i = s$, at each step choose the smallest $k \geq i$ for which the $(k, k+1)$ suffix of the \boxtimes is *not* tied, and then switch the \boxtimes with the first k that occurs after it in reading order, incrementing i to $k+1$ and repeating. We will show that shuffling past the V_j 's using conjugate moves does the same thing.

Suppose we are moving the \boxtimes across the strip V_{j+1} . Then either on the previous move it switched places with an element i in V_j , or $i = s$ and it is at the start of Phase 2. We first show that the \boxtimes switches with an element $k \geq i$ by considering these two cases separately.

Case 1. If $i = s$ and it is the start of Phase 2, the next move will switch with an element $k \geq i = s$ because the vertical strips all have entries of size at least s .

Case 2. If the \boxtimes just switched with an i in V_j , then there exists an i in V_{j+1} because the V_j 's weakly increase in length as j increases by the definition of s -decomposition. Furthermore, the i in V_{j+1} occurs

after the i in V_j in reading order, so the \boxtimes switches with an entry k in V_j which weakly precedes the i in reading order. We must therefore have $k \geq i$ since V_j 's entries increase down the strip.

Finally, in either case, suppose k' is an index with $i \leq k' < k$. Then the k' and $k' + 1$ in V_{j+1} both occur later than \boxtimes in the reading word before the move, and by the definition of s -decomposition this means that the k' and $k' + 1$ in each later strip $V_{j'}$ also occur after the \boxtimes . Hence the $(k', k' + 1)$ suffix of \boxtimes is tied prior to the move. Since the $k + 1$ in V_{j+1} precedes the \boxtimes , the $(k, k + 1)$ suffix is not tied.

So indeed, the \boxtimes switches with the smallest $k \geq i$ for which the $(k, k + 1)$ suffix is tied. \square

This completes the proof of Theorem 4.1.

Remark 4.2 Since we work with semistandard tableaux, a natural question is to ask what happens if we use only horizontal strips to factor esh (i.e. if attempt to use the $(\ell(\beta) + 1)$ -decomposition for all T) rather than the appropriate s -decomposition. In fact, an earlier version of our algorithm used this approach; its proof relied on the ‘un-rectification’ method, as demonstrated for the Pieri Case. However, the proof is more difficult, and there are drawbacks to the resulting local algorithm. The most notable are that it does not preserve ballotness at the intermediate steps, and, after Phase 1, it consists of 3-cycles rather than simple transpositions switching the \boxtimes with one other entry. These drawbacks make the applications to K-theory (see Section 5) harder or impossible to deduce.

4.4 Corollaries on Evacuation-Shuffling

For each of the following corollaries, let $(\boxtimes, T) \in \text{LR}(\alpha, \square, \beta, \gamma)$.

Corollary 4.16 *Suppose the transition step of local-esh (\boxtimes, T) is s . Then β has an outer co-corner in row s , and the evacu-shuffle path of the \boxtimes has length exactly $s + \beta_s$, including the initial and final locations of the \boxtimes .*

Proof. From the proof of Theorem 4.1, the \boxtimes ends up in the square $(s, \beta_s + 1)$ after rectifying and shuffling past T . Thus, this square is an outer co-corner of β .

From the local description of esh, the box moves through a total of $s - 1$ squares in Phase 1. From the description of Phase 2 in terms of conjugate moves, the \boxtimes moves through β_s squares in Phase 2. \square

Corollary 4.17 (Antidiagonal symmetry and evacu-shuffle paths) *Define (T^{R*}, \boxtimes) by rotating and transposing $(\boxtimes, \text{DE}(T))$, then taking its highest-weight representative.*

Similarly, for $(S, \boxtimes) \in \text{LR}(\alpha, \beta, \square, \gamma)$, define (\boxtimes, S^{R}) the same way. Then:*

$$\text{local-esh}(\boxtimes, T) = (S, \boxtimes) \quad \text{iff} \quad (T^{R*}, \boxtimes) = \text{local-esh}(\boxtimes, S^{R*}).$$

Moreover, the evacu-shuffle path of the \boxtimes for local-esh (\boxtimes, S^{R}) is the antidiagonal reflection of the evacu-shuffle path of the \boxtimes for local-esh (\boxtimes, T) .*

See Figure 10 for an example of this phenomenon.

Proof. As a map on dual equivalence classes, esh is its own inverse, and it commutes with transposing and rotating (since shuffling does). However, since the \boxtimes is then on the opposite side of the tableau, esh corresponds by our main theorem to local-esh $^{-1}$. Thus local-esh $(\boxtimes, S^{R*}) = (T^{R*}, \boxtimes)$.

To see that the evacu-shuffle paths are the same, we compare s -decompositions. Observe that rotating and transposing interchanges the functions ι_H and ι_V^* of Lemma 4.7. So, the s -decomposition of T^{R*} corresponds to a ‘dual’ s -decomposition of T ,

$$\iota_s^*(T) = (\iota_H)^{s-1} \circ (\iota_V^*)^{\beta_s}(T) \quad (= \iota_s(T^{R*})^{R*}),$$

where we extract the β_s vertical strips first, then extract the $s - 1$ horizontal strips.

Consider rectifying and shuffling (\boxtimes, T) . It is easy to see that the shuffle path is the same for both $\iota_s(T)$ and $\iota_s^*(T)$. The proof of Theorem 4.1 then shows that the partial evacuation-shuffles corresponding to ι_s^* give the same Pieri and conjugate-Pieri moves as those corresponding to ι_s . \square

Corollary 4.18 *The following are equivalent:*

- (i) *The transition step of local-esh (\boxtimes, T) is s .*
- (ii) *Let (\boxtimes, T') be the ‘transposed class’, obtained by transposing $(\boxtimes, \text{DE}(T))$, then taking the highest-weight representative. Then the transition step of local-esh on (\boxtimes, T') is $\beta_s + 1$.*

$$\begin{array}{ccc}
\begin{array}{cccc}
& & 1 & 1 & 1 \\
& & 2 & 2 & \\
& \blacksquare & 1 & 1 & 2 \\
& \boxed{1} & 2 & 3 & 3 \\
2 & 3 & 3 & \boxed{4} & \boxed{4} \\
3 & 4 & 4 & 5 & \\
\end{array} & \xrightarrow{\text{local-esh}} & \begin{array}{cccc}
& & 1 & 1 & 1 & 2 \\
& & 2 & 2 & 3 & 3 \\
3 & 3 & 3 & 4 & \blacksquare \\
4 & 4 & 4 & 5 & \\
\end{array} \\
\\
\begin{array}{cccc}
& & 1 & & \\
& & 1 & 2 & \\
& & 2 & 3 & \\
& 1 & 1 & 3 & \\
1 & 2 & 2 & 4 & \\
3 & 3 & 4 & 5 & \\
4 & 4 & 6 & \blacksquare & \\
5 & 5 & & & \\
\end{array} & \xleftarrow{\text{local-esh}} & \begin{array}{cccc}
& & 1 & & \\
& & 1 & 2 & \\
& & 2 & 3 & \\
& \blacksquare & 1 & 3 & \\
1 & \boxed{1} & 2 & 4 & \\
2 & 3 & 4 & 5 & \\
3 & 4 & \boxed{5} & \boxed{6} & \\
4 & 5 & & & \\
\end{array}
\end{array}$$

Fig. 10 An example of antidiagonal reflection. The dual equivalence classes of (the standardizations of) T and T^{R*} are antidiagonal reflections of one another, as are those of S and S^{R*} . By Corollary 4.17, their evacu-shuffle paths are likewise antidiagonally-reflected.

(iii) Let (T'', \boxtimes) be the ‘rotated class’, obtained by rotating $(\boxtimes, \text{DE}(T))$, then taking the highest-weight representative. Then the transition step of local-esh^{-1} on (T'', \boxtimes) is s .

Proof. To see that (i) implies (ii), note that shuffling commutes with transposing dual equivalence classes, so in Step 1 of the proof of Theorem 4.1, we find that the \boxtimes is in the square $(\beta_s + 1, s)$ after rectifying and shuffling. This means the transition step of (\boxtimes, T') will be $\beta_s + 1$. The same reasoning with T and T' exchanged shows (ii) implies (i).

To see that (ii) implies (iii), we use the previous corollary. Transposing *and* rotating exchanges the Phase 1 and Phase 2 portions of the evacu-shuffle path. But the length of the Phase 1 portion of the path is exactly the value of the transition step. As above, the same reasoning with (\boxtimes, T) and (T'', \boxtimes) exchanged shows (iii) implies (ii). \square

Finally, we briefly consider the running time of local-esh . We assume the set $\text{LR}(\alpha, \square, \beta, \gamma)$ is given along with, for each (\boxtimes, T) , the 1-decomposition of T into vertical strips. (Computing this decomposition in advance does not increase the asymptotic running time of computing $\text{LR}(\alpha, \square, \beta, \gamma)$, since it can be obtained by simply labeling each i with its distance from the end of its horizontal strip as the tableau is generated.)

Corollary 4.19 *Given $\text{LR}(\alpha, \square, \beta, \gamma)$ as above, computing local-esh takes $O(b)$ steps, where $b = \ell(\beta) + \ell(\beta^*)$. Computing the entire orbit decomposition of ω takes $O(b \cdot |\text{LR}(\alpha, \square, \beta, \gamma)|)$ steps.*

Proof. We compute $\text{local-esh}(\boxtimes, T)$ directly, for any transition step s , updating the 1-decomposition at the same time. Note that during a Phase 1 move, the i that switches with \boxtimes remains part of the same vertical strip, since its position among the i ’s in reading order is unchanged. Thus, we apply Phase 1 moves until the transition step, updating the vertical strips accordingly.

For Phase 2, note that the s -decomposition is simply the 1-decomposition with all squares of value less than s deleted. We may thus compute conjugate Pieri moves using the 1-decomposition.

Note that there are at most $\ell(\beta) + \ell(\beta^*)$ steps in all. \square

5 Connections to K-theory

5.1 Background on K-theoretic (genomic) tableaux

We recall the results we need on increasing tableaux and K-theory. The structure sheaves \mathcal{O}_λ of Schubert varieties in $Gr(k, \mathbb{C}^n)$ form an additive basis for the K-theory ring $K(Gr(k, \mathbb{C}^n))$, and they have a product formula

$$[\mathcal{O}_\mu] \cdot [\mathcal{O}_\nu] = \sum_{|\lambda| \geq |\mu| + |\nu|} (-1)^{|\lambda| - |\mu| - |\nu|} k_{\mu\nu}^\lambda [\mathcal{O}_\lambda],$$

for certain nonnegative integer coefficients $k_{\mu\nu}^\lambda$. These coefficients enumerate certain tableaux, which we now discuss.

In [18], Thomas and Yong have defined a K-theoretic jeu de taquin for *increasing tableaux*, i.e. tableaux that are both row- and column-strict; the tableaux analogous to highest-weight standard tableaux are those whose K-rectification is superstandard. When the K-rectification shape is a single row $\beta = (d)$, these are the *Pieri strips of max-content d* :

Definition 5.1 ([18], Section 5) Let λ/μ be a horizontal strip, (no two squares are in the same column). We say a tableau T of shape λ/μ is a *Pieri strip* if:

- (1) the rows of T are strictly increasing,
- (2) the reading word of T is weakly increasing and does not omit any value $1, \dots, \max(T)$.

We say the *max-content* of T is $\max(T)$.

Example 5.1 For the shape $\lambda/\mu = \begin{array}{cccc} & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \end{array}$, there is one Pieri strip of max-content 5, two of max-content 4 and one of max-content 3. These are, respectively:

$$\begin{array}{cccc} & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \end{array} \begin{array}{cccc} & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \end{array} \begin{array}{cccc} & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \end{array} \begin{array}{cccc} & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \end{array}.$$

For general shapes, there is an analogous theory of ‘(ballot) semistandard increasing tableaux’. These are the *genomic tableaux* defined by Pechenik in [15], whose entries are subscripted integers i_j , which we now define.

Definition 5.2 ([15]) Let T be a genomic tableau with entries i_j . We call i the *gene family* and j the *gene*. First, we say T is *semistandard* if:

- The tableau T_{ss} obtained by forgetting the genes is semistandard (that is, each gene family forms a horizontal strip);
- Within each gene family, the genes form a Pieri strip.

We say the *K-theoretic content* of T is (c_1, \dots, c_r) if c_i is the max-content of the Pieri strip of genes in the i -th gene family. Finally, we say T is *ballot* if it is semistandard and has the following property:

- (*) Let T' be any genomic tableau obtained by deleting, within each gene family of T , all but one of every repeated gene. Let T'_{ss} be the tableau obtained by deleting the corresponding entries of T_{ss} . Then the reading word of T'_{ss} is ballot.

We write $K(\lambda/\mu; \nu)$ for the set of ballot genomic tableaux of shape λ/μ and K-theoretic content ν .

Theorem 5.3 ([15]) *We have $k_{\mu\nu}^\lambda = |K(\lambda/\mu; \nu)|$.*

We are most concerned with the case of partitions α, β, γ with $|\alpha| + |\beta| + |\gamma| = k(n - k) - 1$. In this case there will only be one repeated gene, in one gene family. Let $K(\gamma^c/\alpha; \beta)(i)$ be the set of increasing tableaux in which i is the repeated gene family. For convenience, we state the following simpler characterization of this set:

Lemma 5.4 *Let T be an (ordinary) semistandard tableau of shape γ^c/α and content equal to β except for a single extra i . Let $\{\boxtimes_1, \boxtimes_2\}$ be two squares of T , such that*

- (i) *The squares are non-adjacent and contain i ,*
- (ii) *There are no i 's between \boxtimes_1 and \boxtimes_2 in the reading word of T ,*
- (iii) *For $k = 1, 2$, the word obtained by deleting \boxtimes_k from the reading word of T is ballot.*

There is a unique ballot genomic tableau $T' \in K(\gamma^c/\alpha; \beta)(i)$ corresponding to the data $(T, \{\boxtimes_1, \boxtimes_2\})$. Conversely, each T' corresponds to a unique $(T, \{\boxtimes_1, \boxtimes_2\})$.

Proof. The gene families of T' are the entries of T . For $j \neq i$, the j -th gene family of T' has all distinct genes, obtained by standardizing the j -th horizontal strip of T . For the i -th gene family, there are exactly two repeated genes, in the squares \boxtimes_1, \boxtimes_2 . This uniquely determines the Pieri strip. Ballotness of T' is then equivalent to (iii). \square

5.2 Generating genomic tableaux

We now establish connections between local evacuation-shuffling and genomic tableaux. We first describe how the tableaux $K(\gamma^c/\alpha; \beta)$ arise from evacuation-shuffling. In fact, each tableau arises once during some step of Phase 1 and once during Phase 2, for some $T_1, T_2 \in \text{LR}(\alpha, \square, \beta, \gamma)$.

Let $(\boxtimes, T) \in \text{LR}(\alpha, \square, \beta, \gamma)$. Suppose the evacu-shuffle path for local-esh (\boxtimes, T) is not connected. This occurs whenever local-esh applies a Pieri or conjugate Pieri move. Let \boxtimes_1, \boxtimes_2 be two successive non-adjacent squares in the path, and suppose the \boxtimes switched with an i during this move (that is, the movement occurred during Pieri_i or Jump_i). Let T_i be the tableau before this step, with the \boxtimes replaced by i . We will show using Lemma 5.4 that $(T_i, \{\boxtimes_1, \boxtimes_2\})$ corresponds to a genomic tableau. See Figure 11 for an example.

Theorem 5.5 *The data $(T_i, \boxtimes_1, \boxtimes_2)$ corresponds to a ballot genomic tableau, as in Lemma 5.4. Moreover, as T ranges over $\text{LR}(\alpha, \square, \beta, \gamma)$, every tableau $T_K \in K(\gamma^c/\alpha; \beta)(i)$ arises exactly once this way in Phase 1 and once more in Phase 2. This gives two bijections:*

$$\begin{aligned} \varphi_1 : \quad & \{\text{Pieri}_i \text{ moves}\} && \rightarrow K(\gamma^c/\alpha; \beta)(i), \\ \varphi_2 : \quad & \{\text{CPieri}_j \text{ moves during Jump}_i\} && \rightarrow K(\gamma^c/\alpha; \beta)(i). \end{aligned}$$

Proof. By construction, the squares are non-adjacent. From the definition of local evacuation-shuffling, there is no i between \boxtimes_1 and \boxtimes_2 in the reading word of T . We need only check that after deleting either one of \boxtimes_1, \boxtimes_2 , the reading word of T_i is ballot. This follows from Theorem 3.4.

We show that φ_1 is bijective. It is clearly injective. Next, given a genomic tableau T_K , let $(T, \{\boxtimes_1, \boxtimes_2\})$ be as defined in Lemma 5.4. Replace either \boxtimes entry with i , and leave the other as \boxtimes . This gives a pair of tableaux T', T'' , which differ by an ordinary, non-vertical Pieri move. An argument similar to that of Theorem 3.4 then shows that applying Reverse Phase 1 moves yields an element $T \in \text{LR}(\alpha, \square, \beta, \gamma)$. (It is important that *both* tableaux T', T'' are ballot.)

The proof for φ_2 is identical, only we elect to think of T', T'' as differing by a movement of the \boxtimes in Phase 2. We again work backward to get to T . Note that the tableaux T', T'' occur in the opposite order when we think of them as arising during Phase 2. \square

Example 5.2 (Pieri case, revisited) Suppose β has only one row, and γ^c/α is a horizontal strip with r nonempty rows. With notation as in Theorem 3.1, we have

$$\text{LR}(\alpha, \square, \beta, \gamma) = \{L_1, \dots, L_r\}, \quad \omega(L_i) = L_{i+1} \pmod{r}.$$

In this case, the corresponding genomic tableaux are the Pieri strips on γ^c/α of K -theoretic content β . Let $G_{i,i+1}$ be the tableau in which the two equal entries are at the beginning of the i -th row and the end of the $(i+1)$ -st.

In Phase 1, the ordinary step $\omega(L_i) = L_{i+1}$ generates $G_{i,i+1}$ (for $1 \leq i < r$), while the special jump does not correspond to a genomic tableau.

In Phase 2, the ordinary steps $\omega(L_i) = L_{i+1}$ do not correspond to genomic tableaux, while the special jump generates all of them at once.

5.3 The sign and reflection length of ω via genomic tableaux

We recall the statements about ω known from geometry:

$$|K(\gamma^c/\alpha; \beta)| \geq \text{rlength}(\omega), \tag{5}$$

$$|K(\gamma^c/\alpha; \beta)| \equiv \text{sgn}(\omega) \pmod{2}. \tag{6}$$

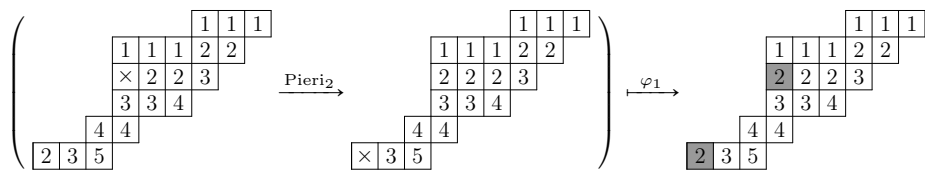


Fig. 11 A Pieri move, and the genomic tableau generated by φ_1 . The shaded squares of the genomic tableau correspond to \boxtimes_1 and \boxtimes_2 , that is, the unique repeated gene.

where $\text{sgn}(\omega)$ is 0 or 1 when ω is even or odd respectively, and $\text{rlength}(\omega)$ denotes the *reflection length*, the minimum length of a factorization of ω as a product of transpositions (permutations consisting of a single 2-cycle). Note that the right-hand sides of equations (5) and (6) are the same, mod 2.

We now give enumerative proofs of these statements, using the bijection φ_1 of Theorem 5.5 to count ballot genomic tableaux. The key idea is to break down the steps of the local algorithm and thereby factor ω into simpler permutations.

Lemma 5.6 *Let X_i be the set of all tableaux arising in between steps $i - 1$ and i of local-esh. Let X'_i be the set of all tableaux arising during sh, when the \boxtimes is between the $(i - 1)$ -st and i -th strips. Then $X_i = X'_i$.*

Proof. Both sets consist of ‘punctured’ semistandard tableaux of content β and shape γ^c/α , with ballot reading word, and where the \boxtimes is between the $(i - 1)$ -st and i -th horizontal strips. (It is well-known that ballotness is preserved by jeu de taquin slides. Ballotness is also preserved during local-esh by Theorem 3.4.) Both shuffling and evacuation-shuffling are invertible, so every such tableau arises in X_i and X'_i . \square

We have $X_1 = \text{LR}(\alpha, \square, \beta, \gamma)$ and we write $X_{t+1} = \text{LR}(\alpha, \beta, \square, \gamma)$, where t is the length of β .

For $1 \leq i \leq t$, let $\ell_i : X_i \rightarrow X_{i+1}$ be the i -th step of local-esh. Let $s_i : X_{i+1} \rightarrow X_i$ be the jeu de taquin shuffle. We have the diagram

$$\begin{array}{ccccccc} & \xleftarrow{s_1} & & \xleftarrow{s_2} & & \xleftarrow{s_3} & & \xleftarrow{s_t} \\ X_1 & & X_2 & & X_3 & & \cdots & & X_{t+1} \\ & \xrightarrow{\ell_1} & & \xrightarrow{\ell_2} & & \xrightarrow{\ell_3} & & \xrightarrow{\ell_t} & \end{array}$$

By definition,

$$\omega = \text{sh} \circ \text{local-esh} = s_1 \cdots s_t \circ \ell_t \cdots \ell_1.$$

Definition 5.7 For $i = 1, \dots, t$, we define

$$\omega_i = s_1 s_2 \cdots s_{i-1} (s_i \ell_i) s_{i-1}^{-1} \cdots s_2^{-1} s_1^{-1}.$$

Note that we may factor ω as

$$\omega = \omega_t \omega_{t-1} \cdots \omega_2 \omega_1.$$

Hence we have

$$\text{sgn}(\omega) \equiv \sum_{i=1}^t \text{sgn}(\omega_i) \pmod{2}, \quad (7)$$

$$\text{rlength}(\omega) \leq \sum_{i=1}^t \text{rlength}(\omega_i). \quad (8)$$

It now suffices to determine the orbits of ω_i , a computation interesting in its own right:

Theorem 5.8 *Let orb_i be the set of orbits of ω_i . Then:*

$$\sum_{\mathcal{O} \in \text{orb}_i} (|\mathcal{O}| - 1) = |K(\gamma^c/\alpha; \beta)(i)|.$$

In particular, $\text{rlength}(\omega_i) = |K(\gamma^c/\alpha; \beta)(i)|$ and $\text{sgn}(\omega_i) \equiv |K(\gamma^c/\alpha; \beta)(i)| \pmod{2}$.

Proof. We use the bijection φ_1 of Theorem 5.5 to generate genomic tableaux. Let $T \in X_i$.

First, suppose ℓ_i applies a Phase 1 vertical slide, or a Phase 2 Jump move consisting of all Horiz steps. Both of these steps are equivalent to jeu de taquin slides, so in this case $\ell_i(T) = s_i^{-1}(T)$. Thus T is a fixed point and does not contribute to the sum; it also does not generate a genomic tableau.

Next, it is easy to see that ℓ_i applies a Phase 1 move if and only if the following conditions hold:

- The suffix from \boxtimes in T is not tied for $(i - 1, i)$, and
- There is an i before the \boxtimes in the reading word of T .

The first condition implies that the $(i - 1)$ -st step of local-esh was in Phase 1; the second rules out the transition to Phase 2.

We now analyze the orbits of $s_i \circ \ell_i$. If either of the above conditions fails, ℓ_i moves the \boxtimes to the first i after it in reading order for which the $(i, i + 1)$ suffix is tied; then s_i moves it to the start of that row of i 's. Otherwise, $s_i \circ \ell_i$ applies a Pieri move on the horizontal strip of i 's. Thus the \boxtimes moves downwards in this strip, one row at a time, until one of the conditions fails.

Since ω_i is a bijection, and the only two possible types of moves are moving down one row at a time on the i th strip or jumping upwards some number of rows, it follows that the orbit consists of a cycle, containing exactly one ‘‘special jump’’ up to the top of the cycle, and the rest downward Pieri moves.

Thus every orbit has a form similar to that of the Pieri case (Example 5.2): one step does not generate a genomic tableau; all other steps generate exactly one each. Thus during each orbit $\mathcal{O} \in \text{orb}_i$, we generate $|\mathcal{O}| - 1$ genomic tableaux. Since every tableau of $K(\gamma^c/\alpha; \beta)(i)$ arises once in Phase 1, we are done. \square

Equations (5) and (6) now follow from Theorem 5.8 and Equations (7) and (8).

6 Orbits of ω

6.1 A stronger conjectured inequality

For the first statement, numerical evidence suggests that, using either φ_1 or φ_2 , the inequality in fact holds orbit-by-orbit (see Figure 12):

Conjecture 6.1 Let $\mathcal{O} \subseteq \text{LR}(\alpha, \square, \beta, \gamma)$ be an orbit of ω . Let $K_1(\mathcal{O}), K_2(\mathcal{O})$ denote the sets of genomic tableaux occurring in this orbit in Phases 1 and 2 via the bijections φ_1, φ_2 . Then

$$|K_i(\mathcal{O})| \geq |\mathcal{O}| - 1 \quad \text{for } i = 1, 2.$$

Note that, by Corollary 4.17, it is sufficient to prove this for φ_1 .

We have verified Conjecture 6.1 for n up to size 10 and all k, α, β , and γ . Below, we prove the conjecture in two special cases.

Remark 6.1 The inequalities of equation (5) and Conjecture 6.1 are tight bounds, since equality holds in the Pieri case and in several others. Indeed, in the Pieri case ω has only one orbit and $|K| = |\mathcal{O}| - 1$. Geometrically, this implies that the Schubert curve $S(\alpha, \beta, \gamma)$ is integral and has $\chi(\mathcal{O}_S) = 1$, so $S \cong \mathbb{P}^1$.

6.2 Fixed points of ω

As a base case of Conjecture 6.1, we characterize the fixed points of ω .

Proposition 6.1 Let $T \in \text{LR}(\alpha, \square, \beta, \gamma)$. The following are equivalent:

- (i) $\omega(T) = T$.
- (ii) In the computation of $\text{local-esh}(T)$, neither bijection φ_1, φ_2 generates a genomic tableau.
- (iii) The evacu-shuffle path of the \boxtimes is connected.

Proof. It is easy to see that (ii) and (iii) are equivalent. Moreover, if (iii) holds then the movements of the \boxtimes are equivalent to jeu de taquin slides, so $\omega(T) = T$. Thus (iii) implies (i).

To show (i) implies (ii), suppose first that the computation of $\text{local-esh}(T)$ involves a Pieri jump in Phase 1. Let i be the index of the jump; the effect is that a single i moved strictly up *and* to the right. Since the horizontal strip of i 's is unaffected by the remaining steps of local-esh, the movement must be

Schubert problem				$ \mathcal{O} $	$K_1(\mathcal{O})$	$K_2(\mathcal{O})$
$\alpha = \begin{array}{ c c c c c } \hline \square & \square & \square & \square & \square \\ \hline \square & \square & \square & \square & \square \\ \hline \square & \square & \square & \square & \square \\ \hline \square & \square & \square & \square & \square \\ \hline \end{array}$	$\beta = \begin{array}{ c c c c c } \hline \square & \square & \square & \square & \square \\ \hline \square & \square & \square & \square & \square \\ \hline \square & \square & \square & \square & \square \\ \hline \square & \square & \square & \square & \square \\ \hline \end{array}$	$\gamma = \begin{array}{ c c c c c } \hline \square & \square & \square & \square & \square \\ \hline \square & \square & \square & \square & \square \\ \hline \square & \square & \square & \square & \square \\ \hline \square & \square & \square & \square & \square \\ \hline \end{array}$	$\boxtimes = 6 \times 8$	38	52	51
$\alpha = \begin{array}{ c c } \hline \square & \square \\ \hline \square & \square \\ \hline \square & \square \\ \hline \square & \square \\ \hline \end{array}$	$\beta = \begin{array}{ c c } \hline \square & \square \\ \hline \square & \square \\ \hline \square & \square \\ \hline \square & \square \\ \hline \end{array}$	$\gamma = \begin{array}{ c c } \hline \square & \square \\ \hline \square & \square \\ \hline \square & \square \\ \hline \square & \square \\ \hline \end{array}$	$\boxtimes = 4 \times 4$	1	0	0
				1	0	0

Fig. 12 Examples of Schubert problems. For each problem, we list the size of each orbit \mathcal{O} and the genomic tableaux $K_1(\mathcal{O}), K_2(\mathcal{O})$ corresponding to that orbit in Phases 1 and 2.

undone by the sh. But the jeu de taquin slides can only either move a single i down one row, or move a strip of i 's to the right. Neither is enough to undo the movement, so we conclude $\omega(T) \neq T$.

We have shown that if Phase 1 generates a genomic tableau, then T is not a fixed point. By a similar argument, or by antidiagonal symmetry (Corollary 4.17), if Phase 2 generates a genomic tableau, then $\omega(T) \neq T$. This completes the proof. \square

One immediate corollary of this result is the following *geometric* fact:

Corollary 6.2 *Suppose ω acts on $\text{LR}(\alpha, \square, \beta, \gamma)$ as the identity. Then $K(\gamma^c/\alpha; \beta) = \emptyset$; it follows that the curve $S(\alpha, \beta, \gamma)$ is a disjoint union of \mathbb{P}^1 's, and the map $S \rightarrow \mathbb{P}^1$ is locally an isomorphism.*

Remark 6.2 In general, a morphism of real algebraic curves $C \rightarrow D$, which is a covering map on real points, may have trivial real monodromy but be algebraically nontrivial (i.e. not a local isomorphism). Corollary 6.2 shows that this cannot occur for Schubert curves.

Proof. If ω is the identity, Proposition 6.1 and Theorem 5.5 imply $|K(\gamma^c/\alpha; \beta)| = 0$. Therefore

$$\chi(\mathcal{O}_S) = |\text{LR}(\alpha, \square, \beta, \gamma)| - |K(\gamma^c/\alpha; \beta)| = |\text{LR}(\alpha, \square, \beta, \gamma)|.$$

There are, moreover, exactly $|\text{LR}(\alpha, \square, \beta, \gamma)|$ real connected components. It follows that S has the desired form: using the notation of Proposition 1.4, the inequalities

$$\eta(S) \geq \iota(S) \geq \dim_{\mathbb{C}} H^0(\mathcal{O}_S) \geq \chi(\mathcal{O}_S)$$

become equalities. Note that $\dim_{\mathbb{C}} H^0(\mathcal{O}_S)$ is the number of \mathbb{C} -connected components of S . In particular each \mathbb{C} -connected component is irreducible, and of genus 0 because $\dim H^1(\mathcal{O}_S) = 0$. \square

We also obtain a weaker form of the Orbits Conjecture:

Corollary 6.3 *For any orbit \mathcal{O} of ω ,*

$$|K_1(\mathcal{O})| + |K_2(\mathcal{O})| \geq |\mathcal{O}| - 1,$$

and if $|\mathcal{O}| \neq 1$ the inequality is strict.

Proof. This follows from Proposition 6.1, since in each ω -orbit that is not a fixed point, every step involves at least one genomic tableau generated in either Phase 1 or Phase 2. \square

We think of this as an ‘order-2 approximation’, since summing over the orbits gives

$$2 \cdot |K(\gamma^c/\alpha; \beta)| \geq |\text{LR}(\alpha, \square, \beta, \gamma)| - |\text{orbits}(\omega)| = \text{rlength}(\omega),$$

a weaker version of our Theorem 1.5.

6.3 When β has two rows

In this section, we prove Conjecture 6.1 for $K_1(\mathcal{O})$ when β has two rows. We note that the case where β has one row (the Pieri Case) is trivial: equality holds for the (unique) orbit. See Example 5.2.

Theorem 6.4 *Let β have two rows. For an ω -orbit $\mathcal{O} \subset \text{LR}(\alpha, \beta, \square, \gamma)$, let $K_1(\mathcal{O})$ be the set of ballot genomic tableaux occurring in \mathcal{O} during Phase 1. Then*

$$|K_1(\mathcal{O})| \geq |\mathcal{O}| - 1. \tag{9}$$

If the skew shape γ^c/α contains a column of height 3, then ω is the identity and $k = 0$. For the remainder of this section, we assume every column of γ^c/α has height at most 2.

We use the following idea: consider the sub-shape of γ^c/α consisting of only its height-one columns. This shape consists of a disjoint union of row shapes. For a tableau $T \in \text{LR}(\alpha, \square, \beta, \gamma)$ or $\text{LR}(\alpha, \beta, \square, \gamma)$, we will call the fillings of these row shapes the *words* of T .

Definition 6.5 Let $(T, \boxtimes) \in \text{LR}(\alpha, \beta, \square, \gamma)$. We say that T is *exceptional* if the following holds:

- Every square of T strictly above \boxtimes contains a 1.
- From top to bottom, the words of T are a sequence of all-1 words, followed by at most one ‘mixed’ word containing 1’s, 2’s and/or \boxtimes , followed by a sequence of all-2 words.

Example 6.1 The following tableaux are exceptional:

$$T_1 : \begin{array}{cccccccc} & & & & & & & 1 \\ & & & & & & 1 & 1 \\ & & & & & 1 & 1 & 1 \\ & & & 1 & 1 & 1 & 2 & 2 \\ & & 1 & 1 & 2 & 2 & & \\ 2 & 2 & 2 & & & & & \end{array} \quad T_2 : \begin{array}{cccccccc} & & & & & & & 1 \\ & & & & & & 1 & 1 \\ & & & & & 1 & 1 & 1 \\ & & & & 1 & 1 & 1 & 2 \\ & & 1 & 2 & 2 & 2 & & \\ 2 & 2 & 2 & & & & & \end{array}$$

From top to bottom, the words of T_1 are $(1, 11, 11, 12, 22)$ and the words of T_2 are $(1, 11, 12\boxtimes, 2, 22)$.

Note that $\text{LR}(\alpha, \beta, \square, \gamma)$, if nonempty, contains exactly one exceptional tableau (the second condition determines the words and the first determines placement of the \boxtimes).

Proof of Theorem. As β has two rows, local-esh takes two steps. If both are Phase 1 Pieri moves, we have ‘gained’ a Pieri move. If neither is, we have ‘lost’ one. All other possibilities contribute 1 element to both \mathcal{O} and $K_1(\mathcal{O})$, hence have no effect on the inequality. We will show that, in almost all cases, we ‘gain’ a Pieri move between two successive ‘losses’.

If $|\mathcal{O}| = 1$, we are done by Proposition 6.1. Henceforth we assume $|\mathcal{O}| > 1$.

We divide the orbit into (disjoint) segments $T, \omega(T), \dots, \omega^n(T)$, such that $\omega^{-1}(T) \rightarrow T$ and $\omega^{n-1}(T) \rightarrow \omega^n(T)$ have transition step $s < 3$, but the intermediate steps have $s = 3$ (i.e. they remain in Phase 1). We will show that among all such segments, at most one contributes -1 to the inequality. The others contribute nonnegatively.

Within a segment, each intermediate local-esh remains in Phase 1, hence involves at least one regular Pieri move (since the tableau is not fixed). If the last one does as well, or if some intermediate step involves two Pieri moves, then the entire segment contributes nonnegatively to the inequality. If not, we show:

Lemma 6.6 *Suppose $\omega^{n-1}(T) \rightarrow \omega^n(T)$ does not involve a Pieri move, and every intermediate step involves exactly one. Then $\text{sh}^{-1}(T)$ is exceptional.*

Theorem 6.4 will follow since only one segment can begin with an exceptional tableau. \square

Proof of Lemma. By our hypotheses, every intermediate local-esh step must consist of either $\text{Vert}_1, \text{Pieri}_2$ or $\text{Pieri}_1, \text{Vert}_2$.

First, we claim that if $\omega^i(T) \rightarrow \omega^{i+1}(T)$ consists of $\text{Vert}_1, \text{Pieri}_2$, then every earlier step is also of this form, and every word weakly above the \boxtimes in $\omega^i(T)$ consists only of 1’s. On the other hand, if $\omega^i(T) \rightarrow \omega^{i+1}(T)$ consists of $\text{Pieri}_1, \text{Vert}_2$, we claim that every subsequent step is of this form, and every word strictly below \boxtimes in $\omega^i(T)$ consists entirely of 2’s.

For the first claim, we work backwards from $\omega^i(T)$ to $\omega^{i-1}(T)$. During the sh^{-1} step, the \boxtimes slides one square down, then right; there must then be a 1 directly above \boxtimes . If some row above \boxtimes contains a 2, local-esh^{-1} must begin in Reverse Phase 1. (By construction, this will be the case as long as $i > 0$.) Hence local-esh^{-1} consists of (Reverse) Pieri_2 and Vert_1 , as desired. For the claim about words, note that the (Reverse) Pieri_2 move will only move the \boxtimes past words containing all 1’s. Finally, if $i = 0$, then local-esh^{-1} begins in Reverse Phase 2 because there are no 2’s in any word (in fact, any row) above \boxtimes in $\text{sh}^{-1}(T)$.

For the second claim, the argument is similar, only we work forward. The computation of $\text{local-esh}(\omega^i(T))$ terminates with \boxtimes below a 2; any words passed over by the \boxtimes contain only 2’s. During sh , the \boxtimes slides up and left, so it is above a 2 in $\omega^{i+1}(T)$. If $i + 1 < n - 1$, then local-esh will again have the form $\text{Pieri}_1, \text{Vert}_2$. Finally, if $i + 1 = n - 1$, then $\text{local-esh}(\omega^{n-1}(T))$ must begin in Phase 2 (it can’t begin with Vert_1 since \boxtimes is above a 2, and we have assumed it does not involve a regular Pieri move). Thus every row below the \boxtimes contains only 2’s.

We thus divide the segment into a first part, where local-esh consists of $\text{Vert}_1, \text{Pieri}_2$, and a second part, where local-esh consists of $\text{Pieri}_1, \text{Vert}_2$. Note that there can be a single ‘mixed’ word in the tableau (if the second part begins with $\omega^i(T)$, this is the word to the right of the \boxtimes in $\omega^i(T)$; in fact the \boxtimes slides through this word during the sh step linking the two parts). We see, moreover, that all the non-mixed words remain unchanged from $\text{sh}^{-1}(T)$ to $\omega^{n-1}(T)$.

Thus, from top to bottom, the words of $\text{sh}^{-1}(T)$ are a (possibly empty) sequence of all-1 words, a single (possibly) ‘mixed’ word containing 1’s, 2’s and/or the \boxtimes , followed by a (possibly empty) sequence of all-2 words. Thus $\text{sh}^{-1}(T)$ is exceptional. \square

In fact, our proof shows something slightly stronger: an orbit \mathcal{O} for which $|K_1(\mathcal{O})| = |\mathcal{O}| - 1$ is either a single fixed point, or is the unique orbit containing the exceptional tableau. All other orbits in fact satisfy $|K_1(\mathcal{O})| \geq |\mathcal{O}|$.

7 Geometrical constructions

We now give several families of values of α , β , and γ for which the Schubert curve $S(\alpha, \beta, \gamma)$ exhibits ‘extremal’ numerical and geometrical properties.

7.1 Schubert curves of high genus

Recall that the arithmetic genus of a (connected) variety S can be defined as

$$g_a(S) = (-1)^{\dim S} (1 - \chi(\mathcal{O}_S)).$$

If S is an integral curve, this is just $\dim_{\mathbb{C}} H^1(\mathcal{O}_S)$. (If S is smooth, this is the usual genus of $S(\mathbb{C})$ as a topological space.)

In this section we construct a sequence of Schubert curves S_t , $t \geq 2$, for which ω has only one orbit, and so (by Proposition 1.4) S_t is integral. Moreover, we show that as $t \rightarrow \infty$, $g_a(S_t) \rightarrow \infty$ as well. In [10], the second author asked if Schubert curves are always smooth. K-theory does not in general detect singularities, but either possibility is interesting: that S_t gives examples of singular Schubert curves for $t \gg 0$, or that it gives smooth Schubert curves of arbitrarily high genus.

As mentioned in the introduction, for our Schubert curves $S = S(\alpha, \beta, \gamma)$, we also have

$$\chi(\mathcal{O}_S) = |\text{LR}(\alpha, \square, \beta, \gamma)| - |K(\gamma^c/\alpha; \beta)|.$$

Therefore, if S is connected (which is true if ω has one orbit), we have

$$\begin{aligned} |\text{LR}(\alpha, \square, \beta, \gamma)| - |K(\gamma^c/\alpha; \beta)| &= \dim_{\mathbb{C}} H^0(\mathcal{O}_S) - \dim_{\mathbb{C}} H^1(\mathcal{O}_S) \\ &= 1 - g_a(S). \end{aligned}$$

and so

$$g_a(S) = |K(\gamma^c/\alpha; \beta)| - |\text{LR}(\alpha, \square, \beta, \gamma)| + 1. \quad (10)$$

We can now construct our family of high genus curves. Let $t \geq 3$ be a positive integer, and let

$$\begin{aligned} \alpha = \gamma &= (t, t-1, t-2, \dots, 2, 1), \\ \beta &= (t+1, 2, 1^{t-2}). \end{aligned}$$

We work in the Grassmannian $G(t+1, \mathbb{C}^{2t+3})$, so \square has size $(t+1) \times (t+2)$, and γ^c/α is a staircase ribbon shape. (See Example 7.1.) We will call γ^c/α the *staircase ribbon of size t* .

Example 7.1 For $t = 5$, two of the elements of $\text{LR}(\alpha, \square, \beta, \gamma)$ are

$$\begin{array}{c} \begin{array}{cccccc} & & & & 1 & 1 \\ & & & & 1 & 2 \\ & & & 1 & 3 & \\ & & 1 & 4 & & \\ & 1 & 2 & & & \\ \times & 5 & & & & \end{array} \quad \text{and} \quad \begin{array}{cccccc} & & & & 1 & 1 \\ & & & & 1 & 2 \\ & & & 2 & 3 & \\ & & \times & 4 & & \\ & 1 & 1 & & & \\ 1 & 5 & & & & \end{array} \end{array}.$$

Each of these will be referred to as illustrations in our proof below.

Proposition 7.1 *With notation as above, ω has only one orbit. In particular, $S_t = S(\alpha, \beta, \gamma)$ is integral, and $g_a(S) = (t-1)(t-2)$.*

We break the proof of Proposition 7.1, into several intermediate lemmas. We first compute the cardinalities in question.

Lemma 7.2 *With notation as above,*

$$|\text{LR}(\alpha, \square, \beta, \gamma)| = 2t(t-1).$$

Proof. We sort the tableaux into two types: those for which the inner corners are all 1 or \boxtimes , as in the first example in Example 7.1, and those for which there is an inner corner whose entry is greater than 1, as in the second example. We will refer to these as Type A and Type B tableaux.

In a Type A tableau, the topmost outer corner must be a 1 since the tableau is ballot. Since there are a total of t entries greater than 1 and exactly $t + 1$ outer corners, the remaining outer corners must be filled with $2, 2, 3, 4, \dots, t$, and all but the second 2 must occur in that order. There are $t - 1$ possibilities for the position of the second 2, and the remaining outer corners are determined. The \boxtimes can then be in any of the $t + 1$ inner corners, and the remaining entries then must be filled with 1's. This gives a total of $(t - 1)(t + 1)$ Type A tableaux.

In a Type B tableau, ballotness forces exactly one inner corner to contain a 2; among the outer corners, the topmost and one other contain 1's. The \boxtimes must be above this second 1; the remaining entries are determined. If the 2 is in the lowest inner corner, there are $(t - 1)$ choices for the \boxtimes . Each of the $(t - 1)$ other placements of the 2 gives $(t - 2)$ choices for the \boxtimes , for a total of $(t - 1) + (t - 1)(t - 2) = (t - 1)^2$ Type B tableaux. \square

Lemma 7.3 *With notation as above,*

$$|K(\gamma^c/\alpha; \beta)| = 3t^2 - 5t + 1.$$

Proof. We count the ballot genomic tableaux having an extra i for each i separately. We use the description from Lemma 5.4.

For $i = 1$, the tableau must contain $(t + 2)$ 1's. By semistandardness, we cannot have a 1 in an outer corner besides the topmost outer corner. Thus the entries *larger* than 1 fill all the outer corners except the topmost. There are $t - 1$ ways to place the second 2, and all other entries are then determined by ballotness. For each of these tableaux, there are then t pairs of consecutive inner corners to mark as our chosen repeated 1's, and each of these satisfy the ballot condition on removal. We therefore have $t(t - 1)$ ballot genomic tableaux in this case.

For $i = 2$, we wish to count for semistandard genomic tableaux with content $\beta'' = (t + 1, 3, 1, 1, \dots, 1)$ and two marked 2's as above. By semistandardness and ballotness, the topmost 2 must be in the outer corner in the second row. If the topmost 2 is in the marked pair of 2's, then in order for the word to be ballot upon removing it, the next 2 (necessarily the other marked 2) must occur before the 3. The next 2 therefore occurs in the third outer corner from the top, and by semistandardness and ballotness all entries larger than 2 fill in the remaining outer corners, with the third 2 in one of $t - 1$ possible inner corners. This gives $t - 1$ genomic tableaux in this case.

If the topmost 2 is not in the marked pair, then the other two 2's must be in an inner and outer corner respectively which are not adjacent. There are $(t - 1)$ positions for the 2 in the outer corner and then $(t - 2)$ valid positions for the other 2 for each of these choices, for a total of $(t - 1)(t - 2)$ possibilities in this case. Thus we have a total of $(t - 1)^2$ ballot genomic tableaux with two marked 2's.

Finally, if $i \geq 3$, it is easy to see by the semistandard and ballot conditions that the repeated i 's must be in the consecutive outer corners in the i th and $i + 1$ st rows from the top. For each i there are then t inner corners in which the second 2 can be placed, and all other entries are determined. It follows that there are a total of $t(t - 2)$ ballot genomic tableaux in the case $i \geq 3$.

All in all, there are $t(t - 1) + (t - 1)^2 + t(t - 2) = 3t^2 - 5t + 1$ tableaux. \square

Lemma 7.4 *With notation as above, $\omega : \text{LR}(\alpha, \square, \beta, \gamma) \rightarrow \text{LR}(\alpha, \square, \beta, \gamma)$ has only one orbit.*

Proof. By Lemma 7.2, it suffices to find an orbit of size $2t(t - 1)$.

We first introduce some new notation that will clarify the steps in our proof. Let $A_{p,q}$ be the unique tableau having the \boxtimes in the inner corner in the p th row from the top ($1 \leq p \leq t + 1$) and with the 2's in the outer corners in the 2nd and q th rows ($3 \leq q \leq t + 1$). Let $B_{p,q}$ be the tableau having the \boxtimes in the p th row and the 2's in rows 2 and q , but with the 2 in the inner corner of row q . We have $2 \leq q \leq t + 1$ and $1 \leq p \leq t$, and $q \neq p, p + 1$. (These are the Type A and Type B tableaux from Lemma 7.2.)

We will show that, for any q with $4 \leq q \leq t + 1$, we have

$$\omega^{2t} A_{t+1,q} = A_{t+1,q-1}, \tag{11}$$

and for $q = 3$ we have

$$\omega^{2t} A_{t+1,3} = A_{t+1,t+1}. \tag{12}$$

These facts together will show that the ω -orbit of $A_{t+1,t+1}$ has length $2t(t - 1)$.

To prove equations (11) and (12), let $q \in \mathbb{Z}$ such that $3 \leq q \leq t + 1$. Starting with $A_{t+1,q}$, the first application of ω according to local evacuation shuffling and JDT consists of a single Jump_1 move to the very top row, followed by a JDT back to the inner corner. Thus $\omega A_{t+1,q} = A_{1,q}$.

Now, if q is sufficiently large then $\text{local-esh}(A_{1,q})$ starts with Pieri_1 and Pieri_2 , which results in the \boxtimes being in row q and the 2 in the inner corner of row 2. There is then a single CPieri move and an upwards JDT slide. Thus we have

$$\omega^2 A_{t+1,q} = \omega A_{1,q} = B_{q-2,2}.$$

The next move, to compute $\omega(B_{q-2,2})$, is Vert_1 followed by a CPieri move to the 2 in the inner corner and a Horiz move that is undone by JDT to form $A_{2,q-1}$. This pattern continues, with the next steps in the ω -orbit being

$$B_{q-3,3}, A_{3,q-2}, B_{q-4,4}, A_{4,q-3}, \dots$$

until we reach $A_{r,q+1-r}$ where r is such that r and $q + 1 - r$ differ by either 2 or 3. At this point, $\text{local-esh}(A_{r,q+1-r})$ starts with Pieri_1 and Pieri_2 as usual, but then the CPieri leaves the \boxtimes adjacent to the 2, and the \boxtimes and 2 then switch via JDT. Thus

$$\omega A_{r,q+1-r} = A_{r+1,q+1-(r+1)}.$$

After this special step with two consecutive Type A tableaux, the orbit resumes alternating between A 's and B 's with the first subscript of the A 's increasing by 1 each time and the first subscript of the B 's decreasing, starting with $B_{q-r-2,r+2}$, and continuing until we reach $B_{1,q-1}$. At this point we have applied ω exactly $2(q-2)$ times.

Now, $\text{local-esh}(B_{1,q-1})$ consists of a single Vert_1 followed by a long sequence of Pieri moves, and the upwards JDT slide then results in the tableau $B_{t,q-1}$. The orbit then alternates between A 's and B 's again in its usual manner until we reach $A_{v,q+t-v}$ where v is such that v and $q + t - v$ differ by either 2 or 3. By the same reasoning as above, this maps to $A_{v+1,q+t-(v+1)}$ and the alternation pattern starts again, and continues until we reach $B_{q-2,t+1}$. We have now applied ω an extra $2(t-q+2) - 1$ times, for a total of $2t - 1$ times.

Finally, if $q \geq 4$ then $\omega B_{q-2,t+1} = A_{t+1,q-1}$ by the same reasoning as before, and so $\omega^{2t} A_{t+1,q} = A_{t+1,q-1}$. If $q = 3$, though, $\omega B_{q-2,t+1} = \omega B_{1,t+1}$, and so before the application of ω the \boxtimes is in the top row and above a 1, with the topmost 2 in the row below that. It follows that the local evacuation shuffle consists of a long sequence of Pieri moves, and the JDT slide leaves us with $A_{t+1,t+1}$, as desired. \square

We now finish the proof of Proposition 7.1.

Proof of Proposition 7.1. By Lemma 7.4 and Proposition 1.4, $S_t = S(\alpha, \beta, \gamma)$ is integral. It follows from Equation 10 and Lemmas 7.2 and 7.3 that

$$\begin{aligned} g(S) &= |K(\gamma^c/\alpha; \beta)| - |\text{LR}(\alpha, \square, \beta, \gamma)| + 1 \\ &= 3t^2 - 5t + 1 - 2t(t-1) + 1 \\ &= (t-1)(t-2). \end{aligned}$$

as desired. \square

7.2 Curves with many connected components

We next exhibit a sequence of Schubert curves $S(\alpha, \beta, \gamma)$ having arbitrarily many (complex) connected components. We use Corollary 6.2, since in the case that ω is the identity map we know that the curve must consist of a disjoint union of \mathbb{P}^1 's. So, it suffices to find shapes α , β , and γ for which ω is the identity map and $\text{LR}(\alpha, \square, \beta, \gamma)$ has many elements.

Proposition 7.5 *Suppose $\beta = (m, 1, 1, \dots, 1)$ is a hook shape and γ^c/α contains a 2×2 square. Then ω is the identity.*

Proof. Since the Littlewood-Richardson tableaux are semistandard and ballot, the \boxtimes must be in the upper left corner of the (necessarily unique) 2×2 square in any tableau in $\text{LR}(\alpha, \square, \beta, \gamma)$. Moreover, there is a unique copy of each entry greater than 1 and so these entries form a vertical strip. Therefore, the entry just below the \boxtimes must be a 1, and so the 2×2 square looks like

$$\begin{array}{|c|c|} \hline \times & a \\ \hline 1 & b \\ \hline \end{array}$$

for some a and b . We also have $a < b$ since the tableau is semistandard, and so in particular $b > 1$.

Now, we wish to show that any such filling maps to itself under ω . The first step in local-esh must be Vert_1 . At this step, since $b > 1$ and the reading word is ballot, the unique 2 in the tableau must occur after \boxtimes in the reading word, and so the transition step is $s = 2$.

At this step, since the entries greater than 1 appear in reverse reading order by ballotness and each occur exactly once, the smallest k for which the $(k, k + 1)$ suffix not tied is $k = b$. It follows that the \boxtimes switches with the b as its only Phase 2 move; after this point the remaining $(i, i + 1)$ -suffixes for $i \geq b$ are empty and therefore tied.

$$\begin{array}{|c|c|} \hline \boxtimes & a \\ \hline 1 & b \\ \hline \end{array} \xrightarrow{\text{Vert}_1} \begin{array}{|c|c|} \hline 1 & a \\ \hline \boxtimes & b \\ \hline \end{array} \xrightarrow{\text{Horiz}_2} \begin{array}{|c|c|} \hline 1 & a \\ \hline b & \boxtimes \\ \hline \end{array} \xrightarrow{\text{JDT}} \begin{array}{|c|c|} \hline \boxtimes & a \\ \hline 1 & b \\ \hline \end{array}$$

Finally, we perform a JDT slide to move the \boxtimes past the tableau, and we see that all entries are restored to their original position, as shown above. \square

We will now construct our curve in the Grassmannian $\text{Gr}(m + 1, \mathbb{C}^{2m+2})$ so that our shapes fill an $(m + 1) \times (m + 1)$ rectangle.

Proposition 7.6 *Let m be a positive integer. Let $\beta = (m, 1, 1)$, let $\alpha = (m, m - 1, m - 2, \dots, 2)$, and let $\gamma^c = (m + 1, m, m - 1, \dots, 4, 3, 2, 2)$. Then $S(\alpha, \beta, \gamma)$ consists of a disjoint union of exactly $m - 1$ copies of \mathbb{P}^1 .*

Proof. The shape γ^c/α consists of a single 2×2 square in the lower left corner plus $m - 1$ disconnected boxes to the northeast. Thus we have $\omega = \text{id}$ by Proposition 7.5, and by Corollary 6.2, it follows that $S(\alpha, \beta, \gamma)$ is a disjoint union of exactly $|\text{LR}(\alpha, \square, \beta, \gamma)|$ copies of \mathbb{P}^1 .

We claim that $|\text{LR}(\alpha, \square, \beta, \gamma)| = m - 1$. Indeed, since $\beta = (m, 1, 1)$, we wish to count ballot fillings that have one 2, one 3, and the rest 1's. Since the 2×2 box is at the lower left corner, the 3 must be in the lower right corner of the 2×2 box by the ballot and semistandard conditions. It is easy to check that the 2 can be in any of the remaining squares except the top row or in the leftmost column of the skew shape. The positions of the 2 and 3 determine the tableau, so we have a total of $m - 1$ Littlewood-Richardson tableaux. \square

8 Conjectures

We recall the conjectural ‘orbit-by-orbit’ inequality:

Conjecture 8.1 (Conjecture 6.1) Let $\mathcal{O} \subseteq \text{LR}(\alpha, \square, \beta, \gamma)$ be an orbit of ω . Let $K_1(\mathcal{O}), K_2(\mathcal{O})$ denote the sets of genomic tableaux occurring in this orbit in Phases 1 and 2 (via the bijections φ_1, φ_2). Then

$$|K_i(\mathcal{O})| \geq |\mathcal{O}| - 1 \quad (\text{for } i = 1, 2).$$

Note that, by Corollary 4.17, it is sufficient to prove this for φ_1 .

We have proven Conjecture 6.1 in certain cases, but do not know a proof in general. This conjecture suggests that there is additional combinatorial structure in the complex curve $S(\mathbb{C})$ – in particular its irreducible decomposition and, for each irreducible component $S' \subset S(\mathbb{C})$, the number of real connected components of $S'(\mathbb{R})$. We have in mind the following observation:

Proposition 8.1 *Suppose S is smooth and let $R = R(\alpha, \beta, \gamma) \subset S(\alpha, \beta, \gamma)$ be the ramification locus of the map $f : S \rightarrow \mathbb{P}^1$ of Theorem 1.2. Then R is a union of complex conjugate pairs of points and, counted with multiplicity,*

$$\frac{1}{2}|R(\alpha, \beta, \gamma)| = |K(\gamma^c/\alpha; \beta)|.$$

Proof. The quantity $\frac{1}{2}R$ is the number (with multiplicity) of complex conjugate pairs of ramification points because f is defined over \mathbb{R} but none of its ramification points are real. The equation then follows from the Riemann-Hurwitz formula, which states

$$\chi(\mathcal{O}_S) = (\deg f) \cdot \chi(\mathcal{O}_{\mathbb{P}^1}) - \frac{1}{2} \deg R.$$

Note that $\deg f = |\text{LR}(\alpha, \square, \beta, \gamma)|$, that $\chi(\mathcal{O}_{\mathbb{P}^1}) = 1$, and that $\chi(\mathcal{O}_S) = |\text{LR}(\alpha, \square, \beta, \gamma)| - |K(\gamma^c/\alpha; \beta)|$. \square

Proposition 8.1 suggests that genomic tableaux be used to index *complex conjugate pairs* of ramification points.

Question 8.1 Is it possible to assign, to each complex conjugate pair of ramification points in $R(\alpha, \beta, \gamma)$, a genomic tableau from $K(\gamma^c/\alpha; \beta)$?

Conjecture 6.1 then suggests assigning to each ramification point $p \in R$ an arc on some component of $S(\mathbb{R})$ – ideally on the same irreducible component as p – compatibly with the labeling by genomic tableaux and the bijections φ_i . Such an assignment would further relate the real and complex topology of S . For instance:

Question 8.2 Suppose $T \in \text{LR}(\alpha, \square, \beta, \gamma)$ is an ω -fixed point. Let $S' \subseteq S$ be the irreducible component containing T . Must S' be a copy of \mathbb{P}^1 , mapping (via f) to \mathbb{P}^1 with degree 1?

The converse is true: if some component S' maps isomorphically to \mathbb{P}^1 , then $S'(\mathbb{R}) \cap f^{-1}(0)$ corresponds to an ω -fixed point under the identification of $f^{-1}(0)$ with $\text{LR}(\alpha, \square, \beta, \gamma)$. On the other hand, we have shown (Proposition 6.1) that if *every* T is a fixed point, that is, ω is the identity, then S is indeed a disjoint union of \mathbb{P}^1 's, each mapping isomorphically under f .

Question 8.3 Let $\mathcal{O} \subseteq \text{LR}(\alpha, \square, \beta, \gamma)$ be an orbit such that $K_i(\mathcal{O}) = |\mathcal{O}| - 1$ for $i = 1, 2$. Let $S' \subseteq S$ be the irreducible component containing \mathcal{O} . Must S' be a copy of \mathbb{P}^1 , mapping to \mathbb{P}^1 with degree $|\mathcal{O}|$?

If the global inequality (3) is replaced by an equality (and is then true of every orbit), it is possible to show that this is true, i.e. that S is a disjoint union of \mathbb{P}^1 's, each mapping to \mathbb{P}^1 with the appropriate degree – in particular, in the Pieri Case. On the other hand, if a single irreducible component S' contains a number of ramification points equal to $(\deg f|_{S'}) - 1$, then the Riemann-Hurwitz formula implies that $g(S') = 0$, i.e. $S' \cong \mathbb{P}^1$ and $S'(\mathbb{R})$ has only one connected component.

Finally, although we have only defined *local* evacuation-shuffling for Littlewood-Richardson tableaux, the evacuation-shuffle esh is defined on *all* tableaux (\boxtimes, T) as the conjugation of shuffling by rectification. Our results do yield local algorithms for certain other classes of tableaux, such as *lowest-weight* semistandard tableaux, via straightforward alterations to local-esh. (For lowest-weight semistandard tableaux, the local algorithm resembles a rotated version of local-esh^{-1} .) It would be interesting to understand the actions of esh and ω on arbitrary representatives of dual equivalence classes, and on semistandard tableaux in general. We may be more precise:

Conjecture 8.2 Let T be **any** (semi)standard skew tableau and \boxtimes an inner co-corner of T . There exists a local algorithm for computing $\text{esh}(\boxtimes, T)$, which does not require rectifying the tableau, such that:

- (i) Each step consists of exchanging the \boxtimes with an entry of T , of weakly increasing value.
- (ii) The slide equivalence class of T is preserved throughout the algorithm.
- (iii) The algorithm specializes to jeu de taquin (if T is of straight shape) and local-esh (if T is ballot).

Each step should correspond (by conjugating with rectification) to a jeu de taquin slide of \boxtimes through the rectification $\text{rect}(\boxtimes, T)$.

It would also be interesting to investigate how such algorithms might relate to K-theoretic Schubert calculus.

For a *straight-shape* tableau T that is *not* highest-weight, the shuffle path of the \boxtimes is just the path given by jeu de taquin slides through T . It would be interesting to find a generalization of the s -decomposition that describes this shuffle path, and that gives rise to a local algorithm on any skew tableau T' whose rectification is T .

We may also ask analogous questions for computing $\text{esh}(S, T)$ locally, where both S and T may have more than one box.

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