

## Proof of Cayley's formula using species

$T$  = Species of labeled trees

$R$  = Species of labeled rooted trees.

Note:  $R = X \cdot T'$

$\uparrow$   
indicator species that sends  $[1]$   
to  $\{[1]\}$ , all else to  $\emptyset$

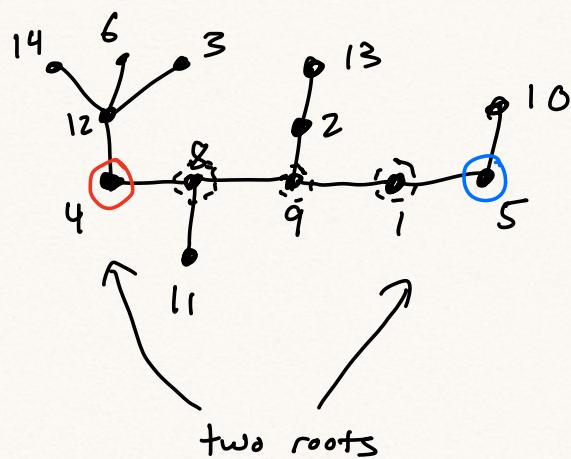
Want to show # trees =  $n^{n-2}$

$\Leftrightarrow$  # rooted trees =  $n^{n-1}$

$\Leftrightarrow$  # doubly rooted trees =  $n^n$

Species of doubly rooted trees (same vertex  
can be rooted twice, or two different vertices):

$X \cdot R'$



Consider the path between the two roots, also  
circle each vertex along the path; each is

a root of its branch, the doubly rooted tree can be thought of as a linear ordering on rooted trees

$$\Rightarrow L^* \circ R = X \cdot R'$$

$$L^* = I - 1 = \frac{x}{1-x}$$

$$\Rightarrow \frac{\widehat{R}(x)}{1-\widehat{R}(x)} = x \widehat{R}'(x)$$

$$\frac{1}{1-\widehat{R}(x)} - 1 = x R'(x)$$

$$\frac{\widehat{R}(x)}{1-\widehat{R}(x)} = x R'(x)$$

$$\frac{1}{x} = R'(x) \left( \frac{1}{R(x)} - 1 \right)$$

$$\ln(x) = \ln(\widehat{R}(x)) - \widehat{R}(x)$$

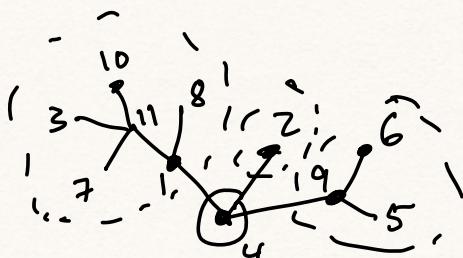
$$\ln\left(\frac{x}{R(x)}\right) = -\widehat{R}(x)$$

$$\frac{x}{R(x)} = e^{-\widehat{R}(x)}$$

$$\boxed{\widehat{R}(x) = x e^{\widehat{R}(x)}}$$

Alt method:

$$R = X \cdot (E \circ R)$$



## Lagrange Inversion Theorem

Suppose  $F(g(x)) = x$ . Then

$$g_n = \frac{1}{n} \left( \text{coeff of } x^{-1} \text{ in } \frac{1}{F(x)^n} \right)$$

?  
Laurent series!

(Proof later).

## Application to rooted trees:

$$R \text{ is inverse to } F(y) = \frac{y}{e^y} \quad \text{since} \quad x = \frac{R(x)}{e^{R(x)}}.$$

$$\begin{aligned} \text{So } \frac{1}{n!} R_n &= \frac{1}{n} \left( \text{coeff of } y^{-1} \text{ in } \frac{e^{ny}}{y^n} \right) \\ &= \frac{1}{n} \left( \text{coeff of } y^{n-1} \text{ in } e^{ny} \right) \\ &= \frac{1}{n} \left( \frac{n^n}{n!} \right) = \frac{1}{n!} (n^{n-1}) \quad . \quad \text{QED} \end{aligned}$$

## Proof of Lagrange Inversion (Stanley ch 5.4 vol 2)

Notation:  $[x^n] f(x) = \text{"coefficient of } x^n \text{ in } f(x)'$

$$[x^4] \left( \frac{x}{1-x-x^2} \right) = F_4 = 3$$

Lemma: (i) For  $h(x) \in \mathbb{C}[[x]]$ ,  $[x^{-1}] h'(x) = 0$ .

(ii) For  $f(x) \in x\mathbb{C}[[x]]$  w/  $[x] f(x) \neq 0$ ,

$$[x^{-1}] f(x)^i f'(x) = \begin{cases} 1 & \text{if } i = -1 \\ 0 & \text{else} \end{cases}$$

Pf: (i) Clear.

$$(ii): f(x)^i \cdot f'(x) = \frac{1}{i+1} (f(x)^{i+1})'$$

Now apply (i). If  $i \neq -1$ , it's 0.

If  $i = -1$ ,

$$\begin{aligned} f(x)^i f'(x) &= \frac{f'(x)}{f(x)} = \frac{a_1 + 2a_2 x + 3a_3 x^2 + \dots}{a_1 x + a_2 x^2 + a_3 x^3 + \dots} \\ &= \frac{a_1 + 2a_2 x + 3a_3 x^2 + \dots}{(a_1 x)(1 + \frac{a_2}{a_1} x + \dots)} \\ &= x^{-1} + \dots \end{aligned}$$

□

$$\text{Thm: } [x^n]g(x) = \frac{1}{n} [x^{-1}] \frac{1}{f(x)} \quad \text{if } f(g(x)) = x$$

Pf: Suppose  $g(x) = \sum b_i x^i$

$$x = g(f(x)) = \sum_{i \geq 1} b_i f(x)^i$$

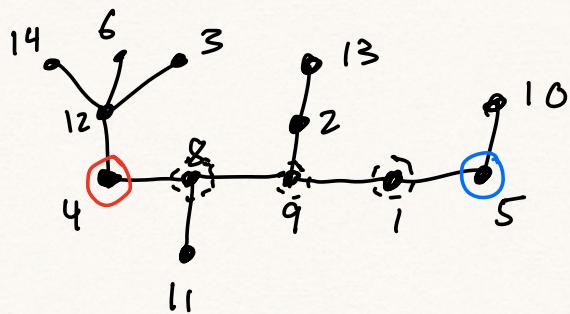
$$1 = \sum_{i \geq 1} i b_i f(x)^{i-1} f'(x)$$

$$\frac{1}{f(x)} = \sum_{i \geq 1} i b_i f(x)^{i-1} f'(x)$$

Coeff of  $x^{-1}$  =  $n b_n$  by Lemma.  $\checkmark$

QED

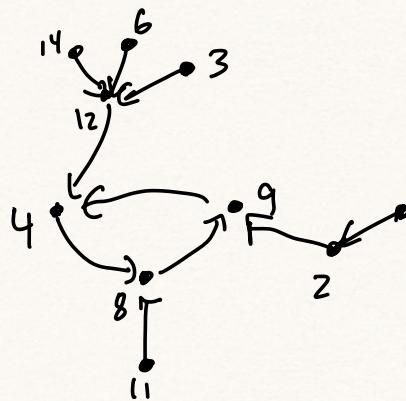
### Bijective proof of Cayley



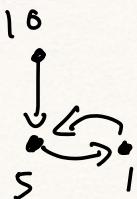
Show doubly  
rooted trees  
counted by  $n^n$ :

$n^n = \# \text{ functions } f: \{n\} \rightarrow \{n\}$ .

Such a function's digraph looks like:



$(4 \ 8 \ 9)(1 \ 5)$



i.e. all paths eventually end up in a cycle.

From the tree, orient all edges towards path btwn roots. For path between roots, use the bijection between line rotation and cycle rotation to sort them into cycles.

□